

# MACHINE LEARNING AS A DISCOVERY TOOL IN HEP-TH



Vishnu Jejjala  
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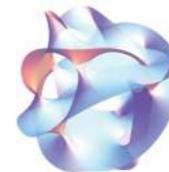


7 October 2020  
Physics  $\cap$  ML

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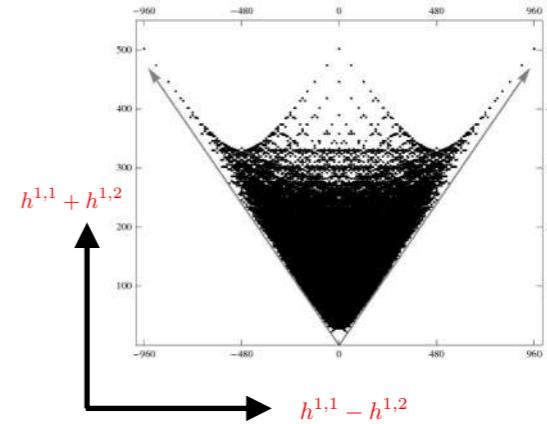
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# Mathematical Phenomenology

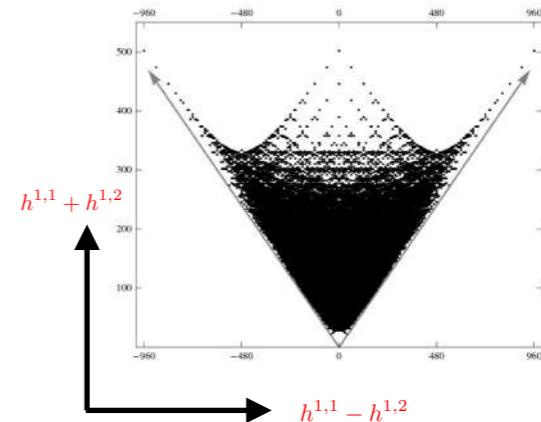
- Note patterns then look for an explanation
  - Mirror symmetry is prototype example
- Use machine learning to train a computer to calculate in **hep-th**
  - Black box gives **probably approximately correct** answers
  - Filter interesting cases to investigate further
  - Start to dissect how it all works
  - Want to bridge this success to new analytic results and methods
- No deep theoretical understanding for why machine learning is effective, for which problems it is useful, etc.



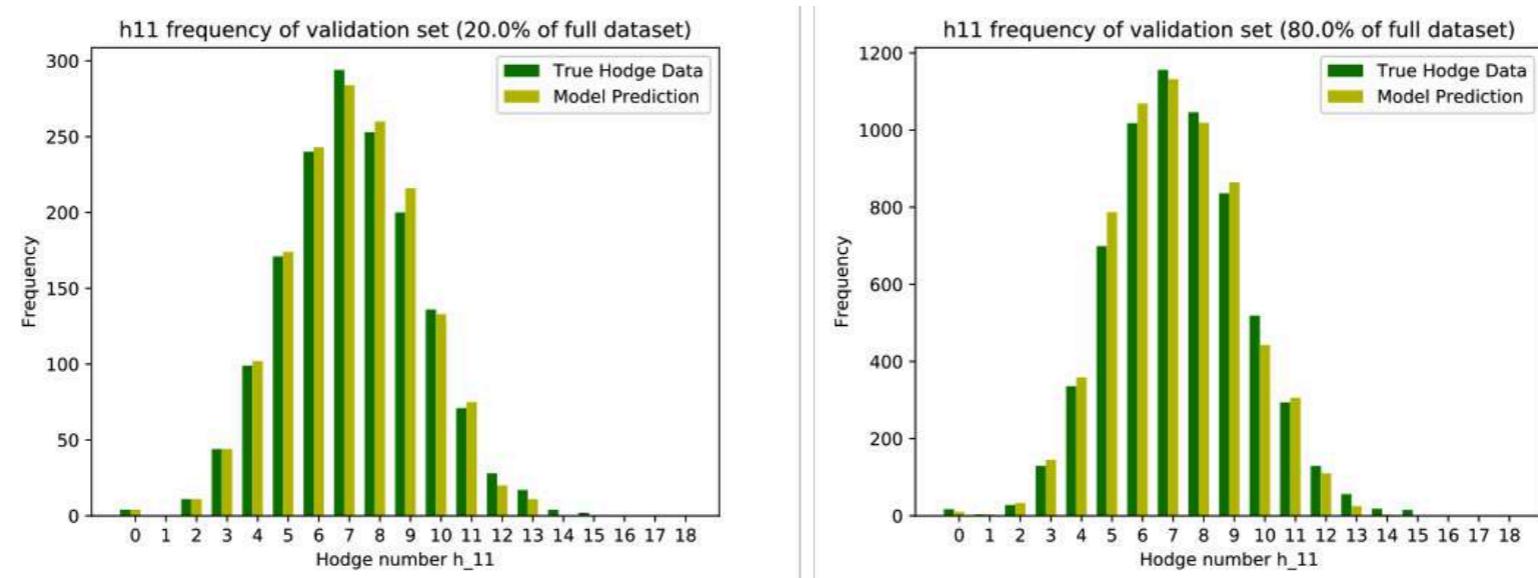
# Mathematical Phenomenology

- Note patterns then look for an explanation

- Mirror symmetry is prototype example



- Learn Hodge numbers of CICYs from configuration matrices

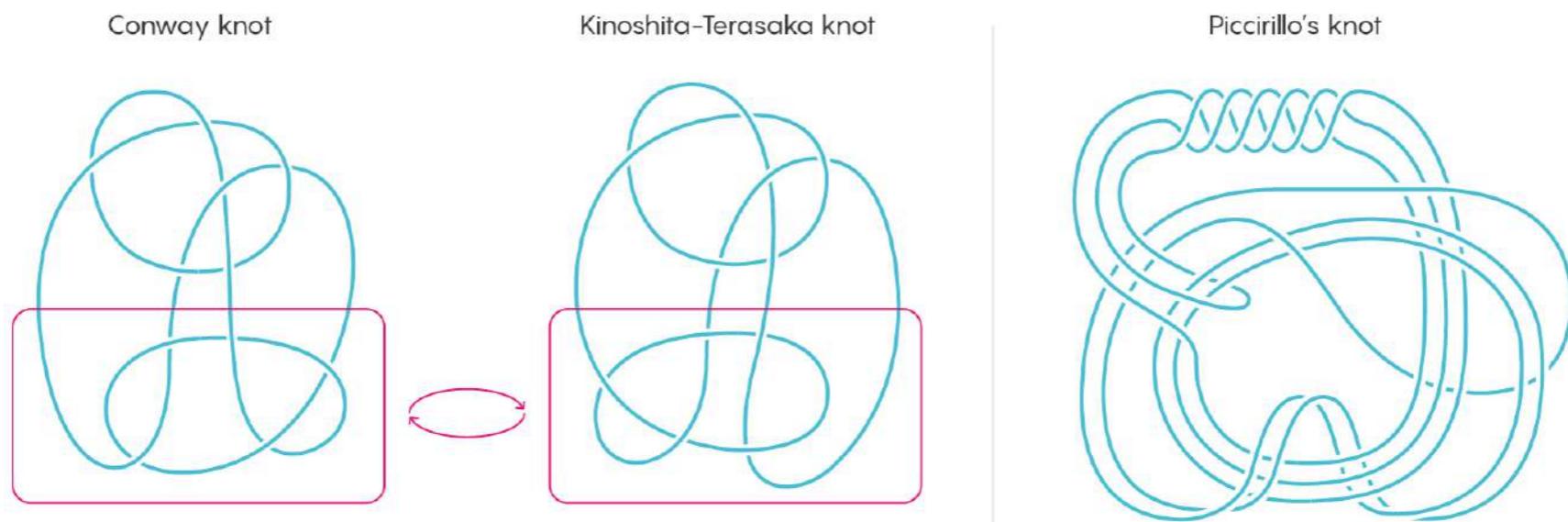


- Polynomial rather than doubly exponential in time
  - Better ways to calculate in algebraic geometry

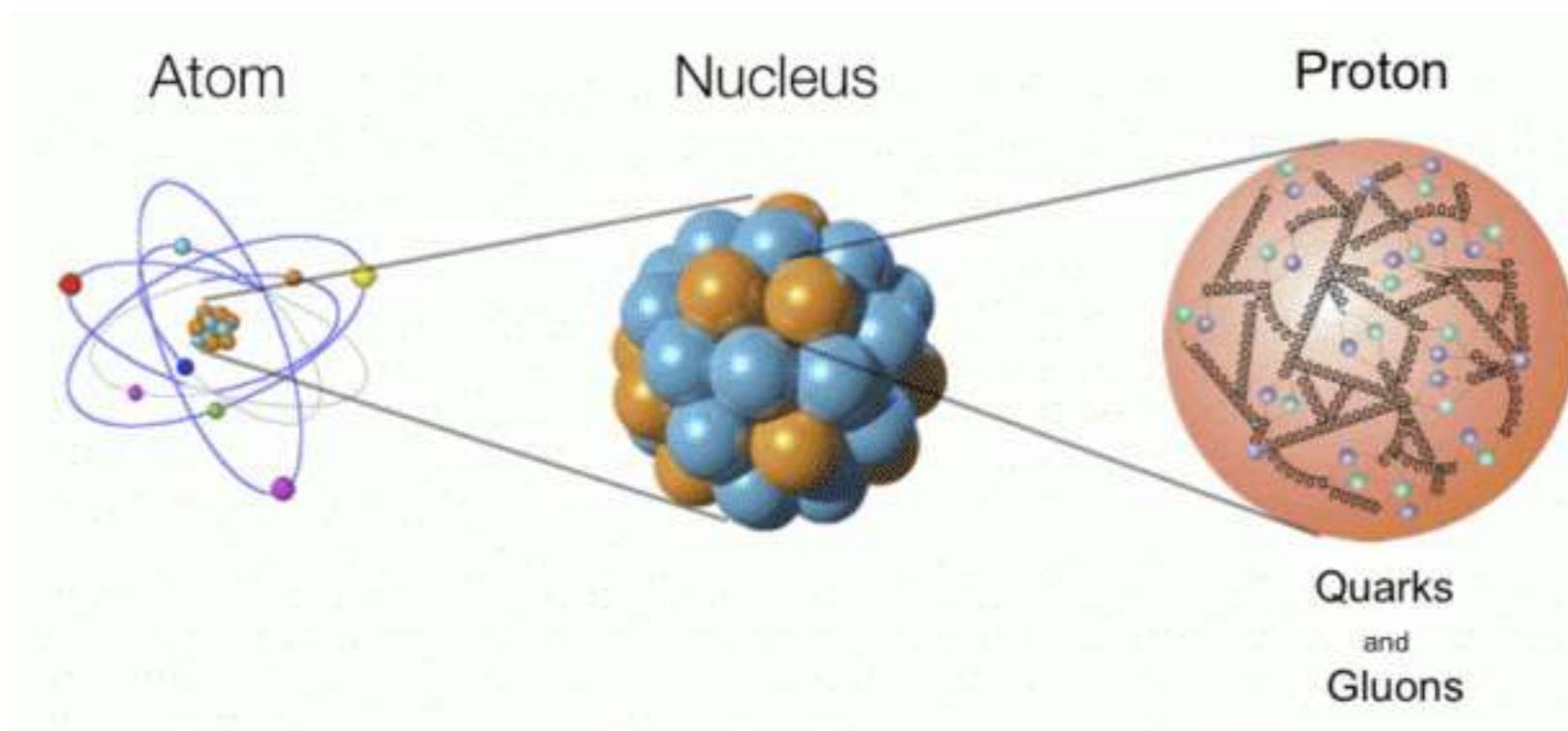


# Two Case Studies

- Knots



- QCD

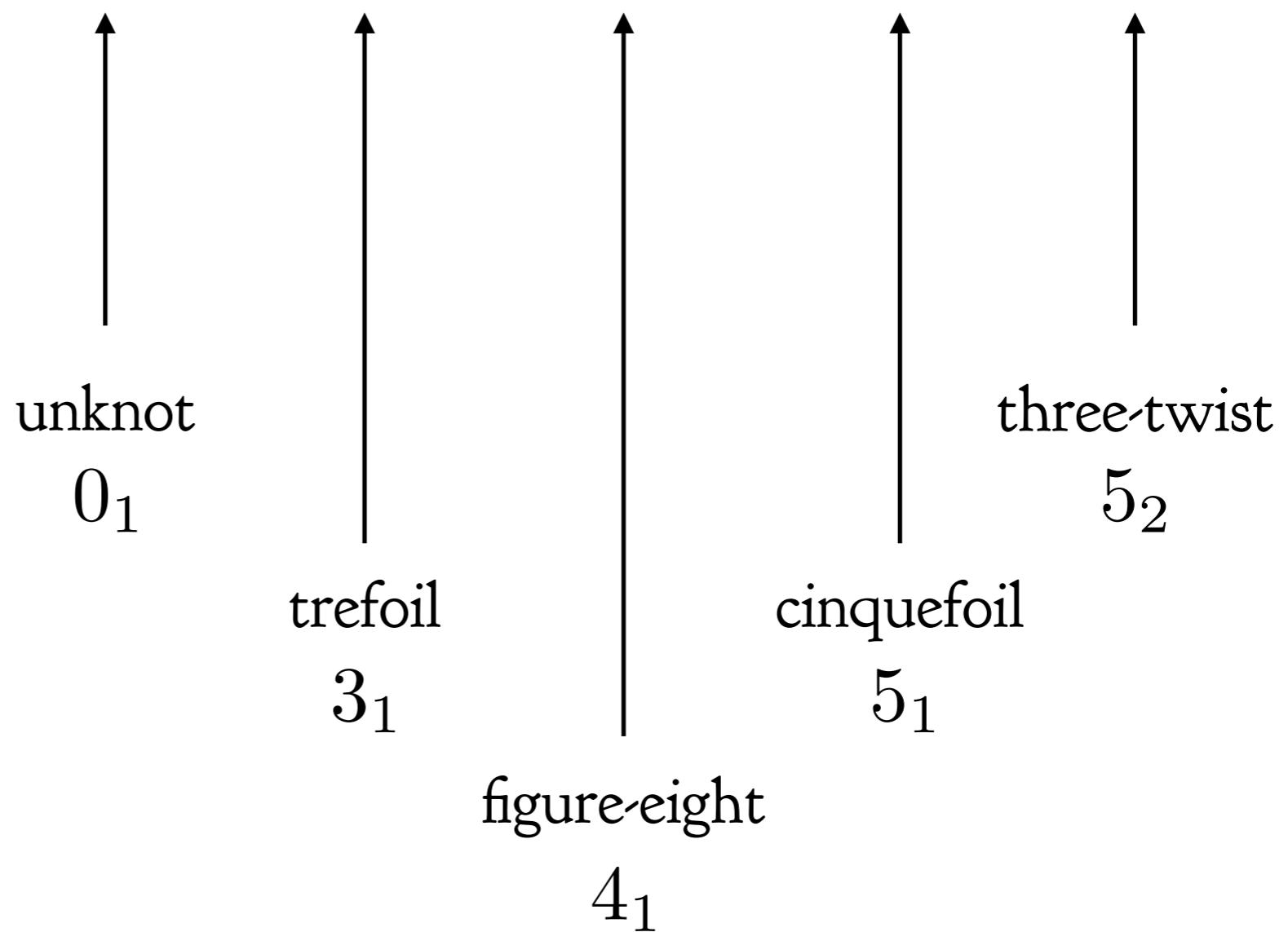
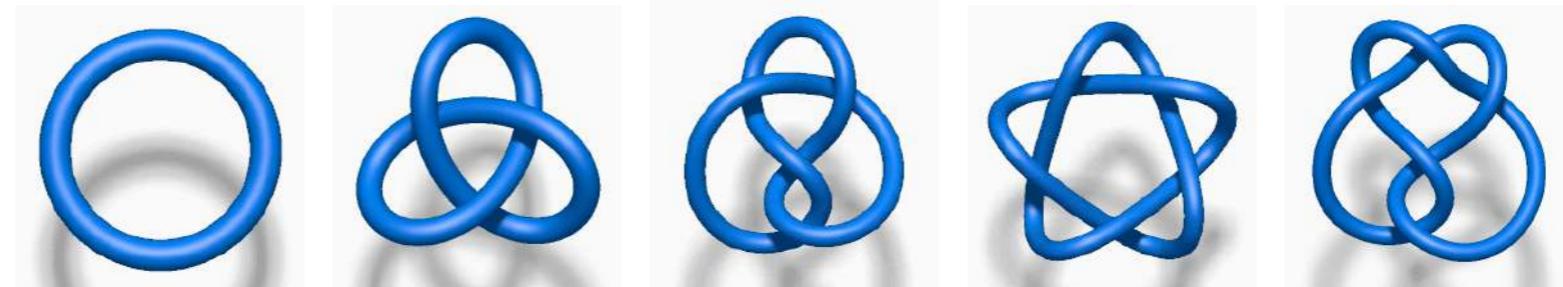


Please ask questions!

FROM      JONES      POLYNOMIALS  
TO      HYPERBOLIC      VOLUMES

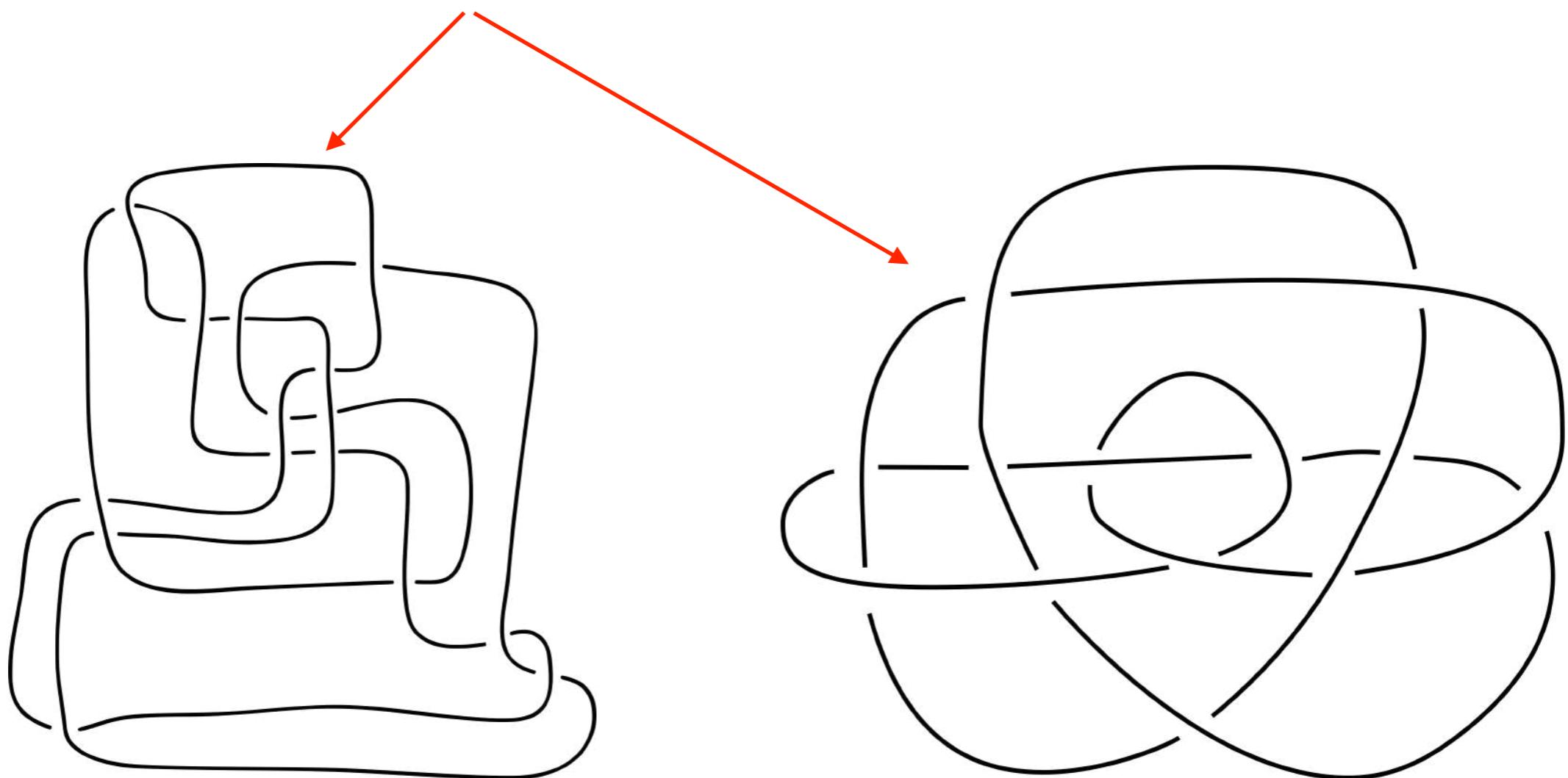
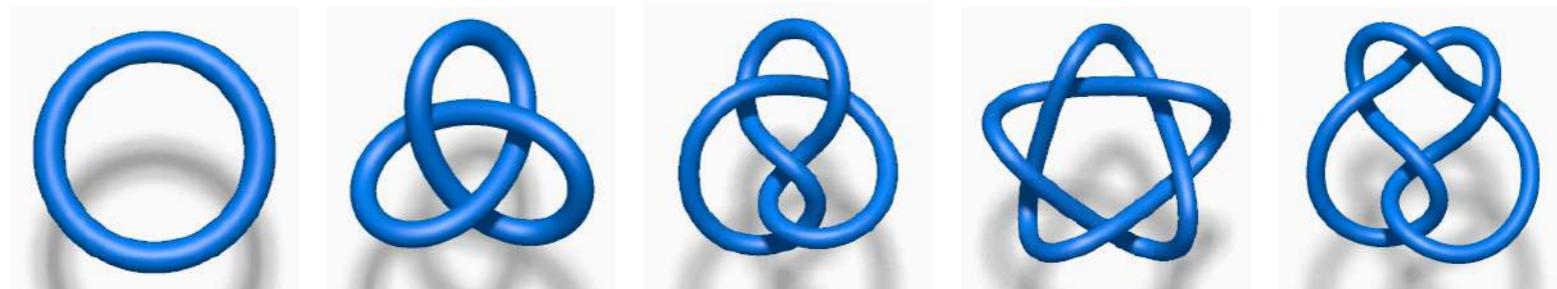
# Dramatis Personae

Knot:  $S^1 \subset S^3$  ; e.g.,



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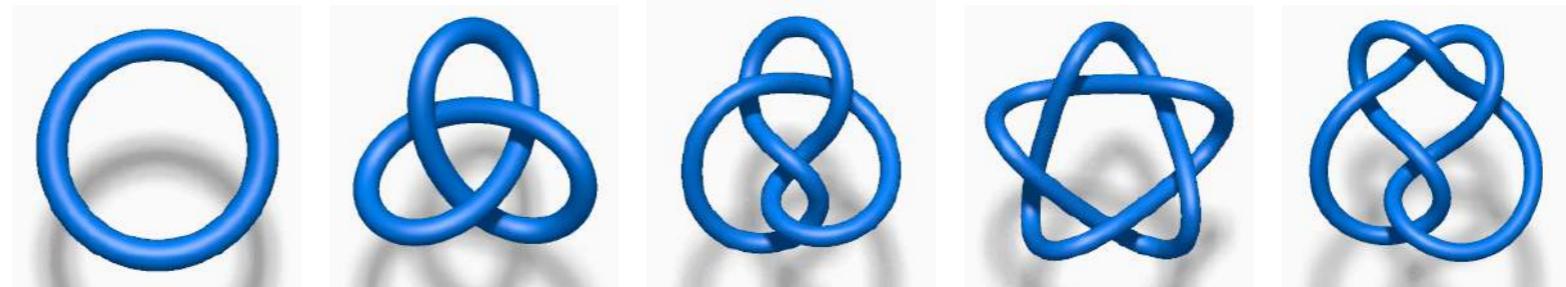


Thistlethwaite unknot

Ochiai unknot

# Dramatis Personae

Knot:  $S^1 \subset S^3$ ; e.g.,

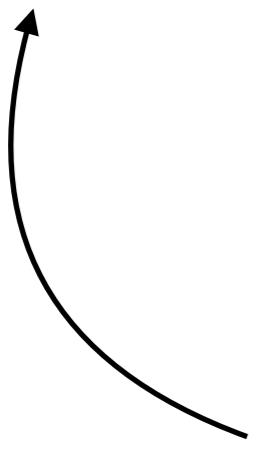


Jones polynomial:  $J_K(q) = (-q^{\frac{3}{4}})^{w(K)} \frac{\langle K \rangle}{\langle \textcirclearrowleft \rangle}$

$$\langle \textcirclearrowright \rangle = q^{\frac{1}{4}} \langle \textcirclearrowleft \rangle + \frac{1}{q^{\frac{1}{4}}} \langle \textcirclearrowright \rangle$$

$w(K)$  = overhand – underhand

Jones (1985)



topological invariant: independent of how the knot is drawn

Question: how to calculate these?

Answer: quantum field theory!

# Chern–Simons Theory

- What is the simplest non-trivial quantum field theory?
  - Chern–Simons theory in three dimensions
- Focus on **topology** instead of geometry



genus 0



genus 1

# Topological Invariants

- On a manifold  $\mathcal{M}$  with metric  $g_{\mu\nu}$ , a topological invariant enjoys:

$$\frac{\delta}{\delta g_{\mu\nu}} \langle \mathcal{O}_1 \dots \mathcal{O}_n \rangle = 0$$

- In Chern–Simons theory, the operators are Wilson loops

$$W_R^\gamma = \text{Tr}_R U_\gamma , \quad U_\gamma = \mathcal{P} \exp i \oint_\gamma A$$

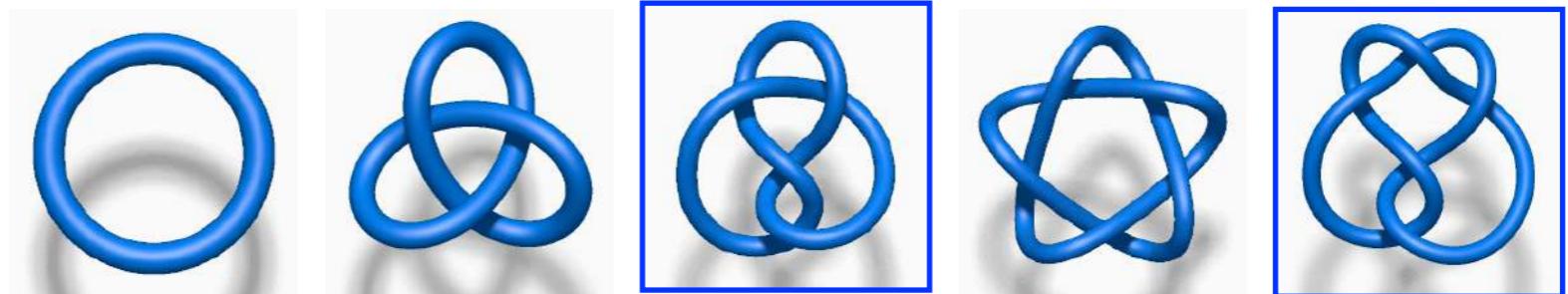
- The knot invariants are then

$$\langle W_{R_1}^{K_1} \dots W_{R_n}^{K_n} \rangle = \frac{1}{Z(\mathcal{M})} \int [DA] W_{R_1}^{K_1} \dots W_{R_n}^{K_n} e^{iS_{\text{CS}}}$$

$$S_{\text{CS}} = \frac{k}{4\pi} \int_{\mathcal{M}} \text{Tr} (A \wedge dA + \frac{2}{3} A \wedge A \wedge A) , \quad Z(\mathcal{M}) = \int [DA] e^{iS_{\text{CS}}} , \quad k \in \mathbb{Z}$$

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$w(K)$  = overhand – underhand

vev of Wilson loop operator along  $K$  in

□ for  $SU(2)$  Chern–Simons on  $S^3$

Jones (1985)  
Witten (1989)

$$J_{4_1}(q) = q^{-2} - q^{-1} + 1 - q + q^2, \quad q = e^{\frac{2\pi i}{k+2}}$$

Hyperbolic volume: volume of  $S^3 - K$  is another knot invariant

computed from tetrahedral decomposition of knot complement

Thurston (1978)

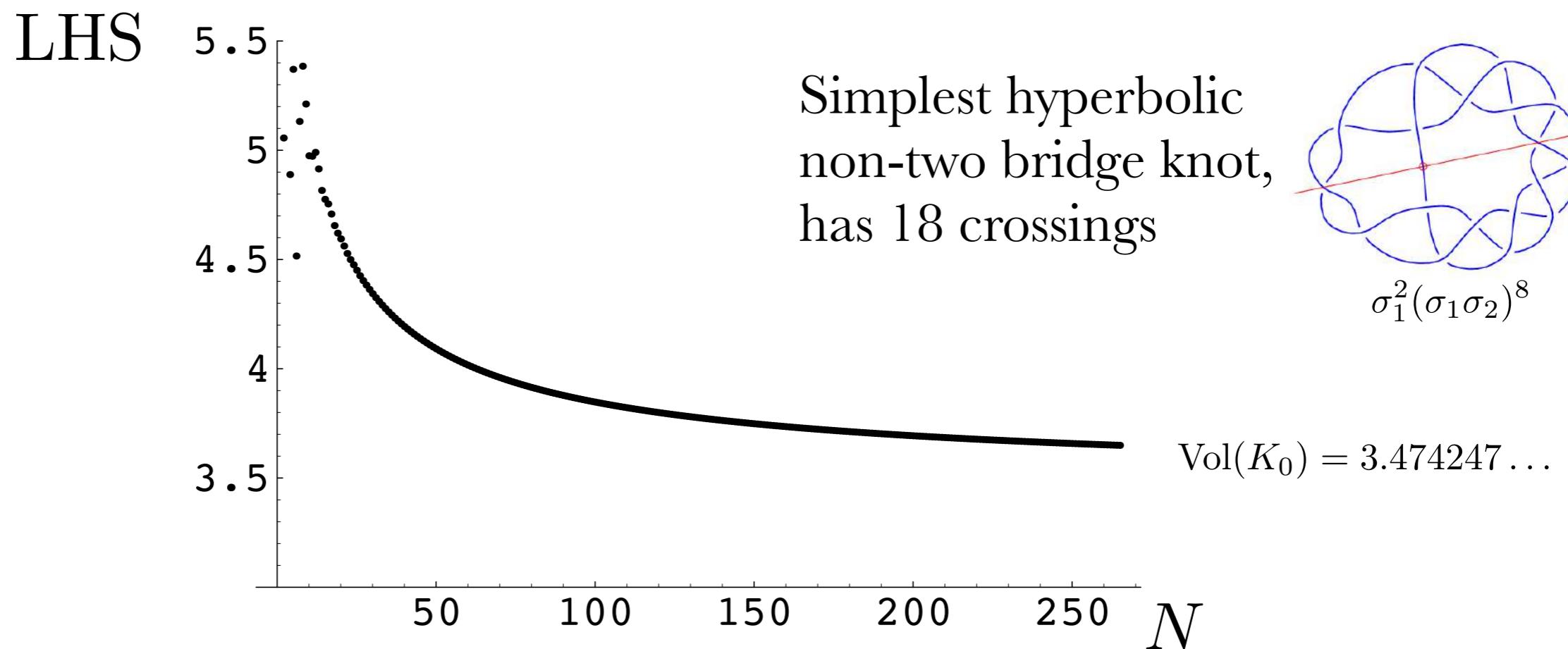
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Colored Jones polynomial:  $J_{K,2} = J_K(q)$  Witten (1989)

$$\lim_{N \rightarrow \infty} \frac{2\pi \log |J_{K,N}(e^{\frac{2\pi i}{N}})|}{N} = \text{Vol}(K)$$

[Volume conjecture]

Kashaev (1997)  
Murakami x 2 (2001)  
Gukov (2005)



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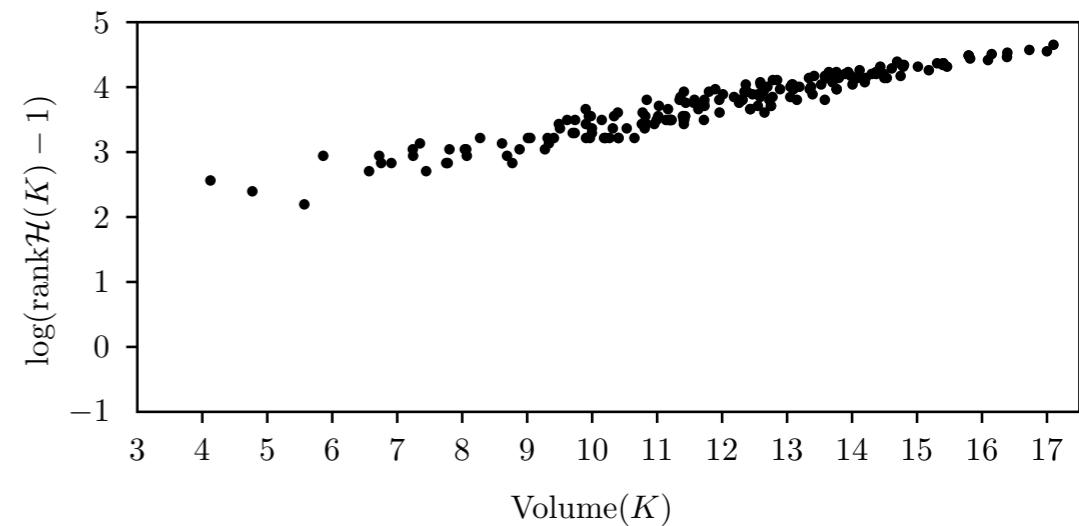
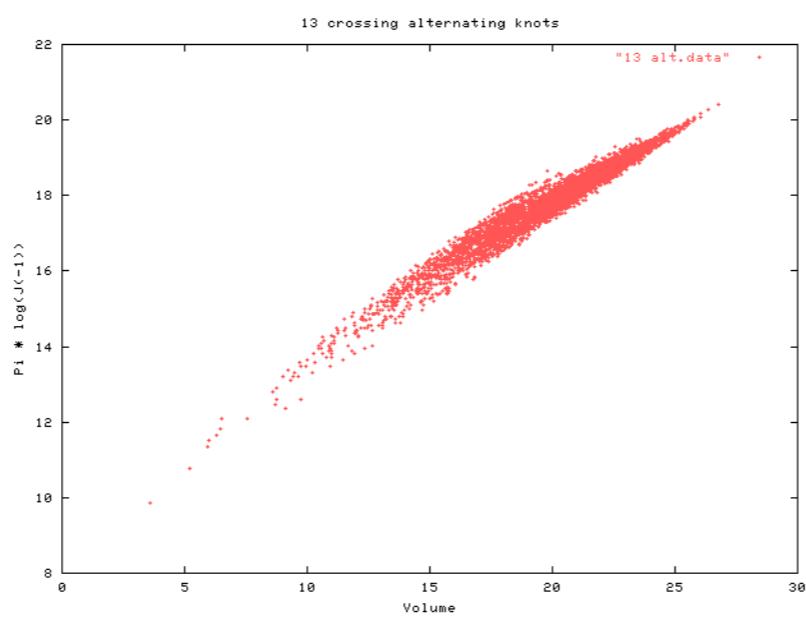
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Khovanov homology: a homology theory  $\mathcal{H}_K$  whose graded Euler characteristic is  $J_K(q)$ ; explains why coefficients in  $J_K(q)$  are integers

Khovanov (2000)

$$\log J_K(-1), \log(\text{rank}(\mathcal{H}_K) - 1) \propto \text{Vol}(K)$$

Dunfield (2000)  
Khovanov (2002)



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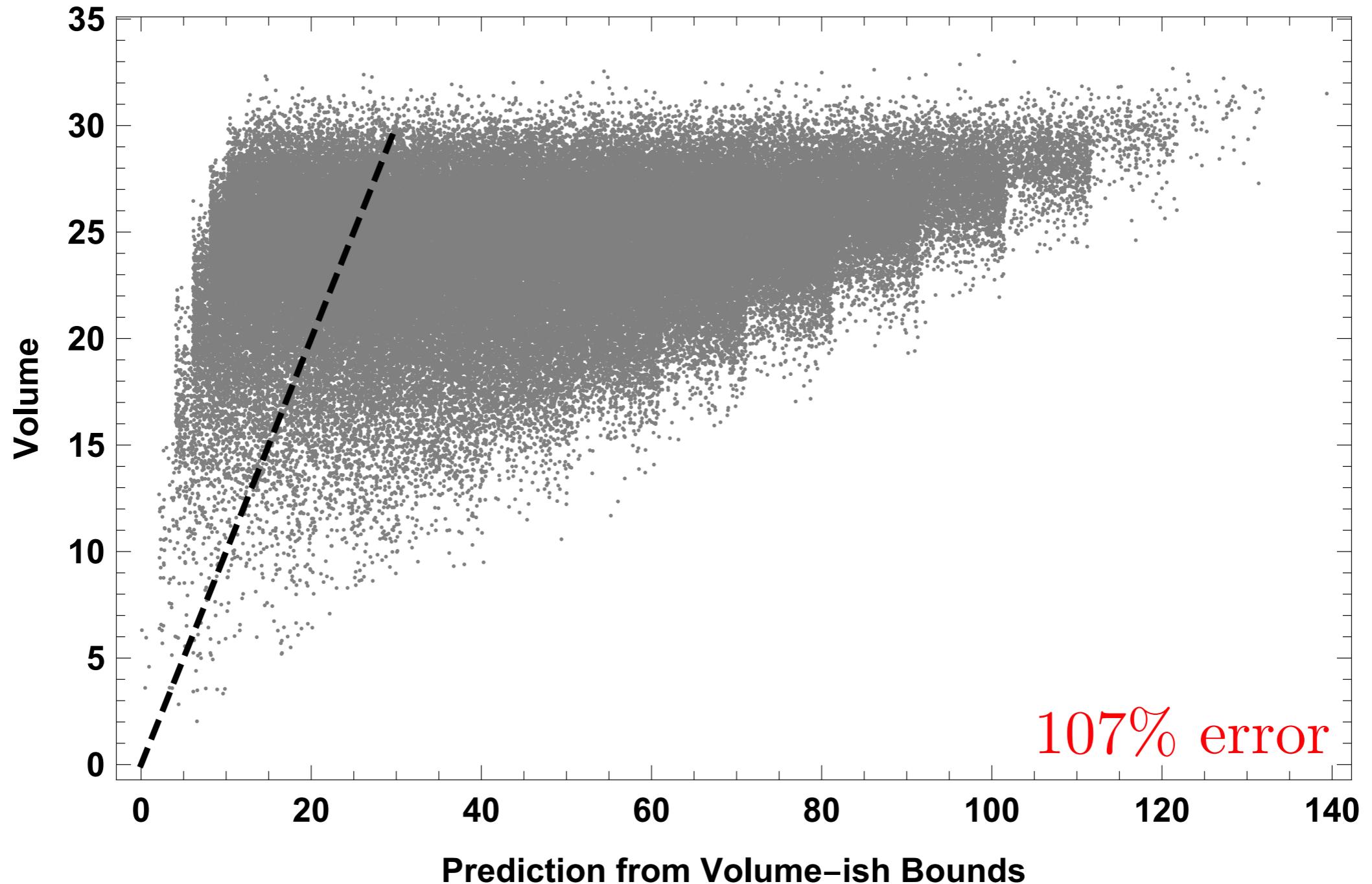
Volume-ish theorem:  $J_K(q) = a_n q^n + \dots + a_m q^m$

$$2v_0(\max(|a_{m-1}|, |a_{n+1}|) - 1) \leq \text{Vol}(K) \leq 10v_0(|a_{m-1}| + |a_{n+1}| - 1)$$

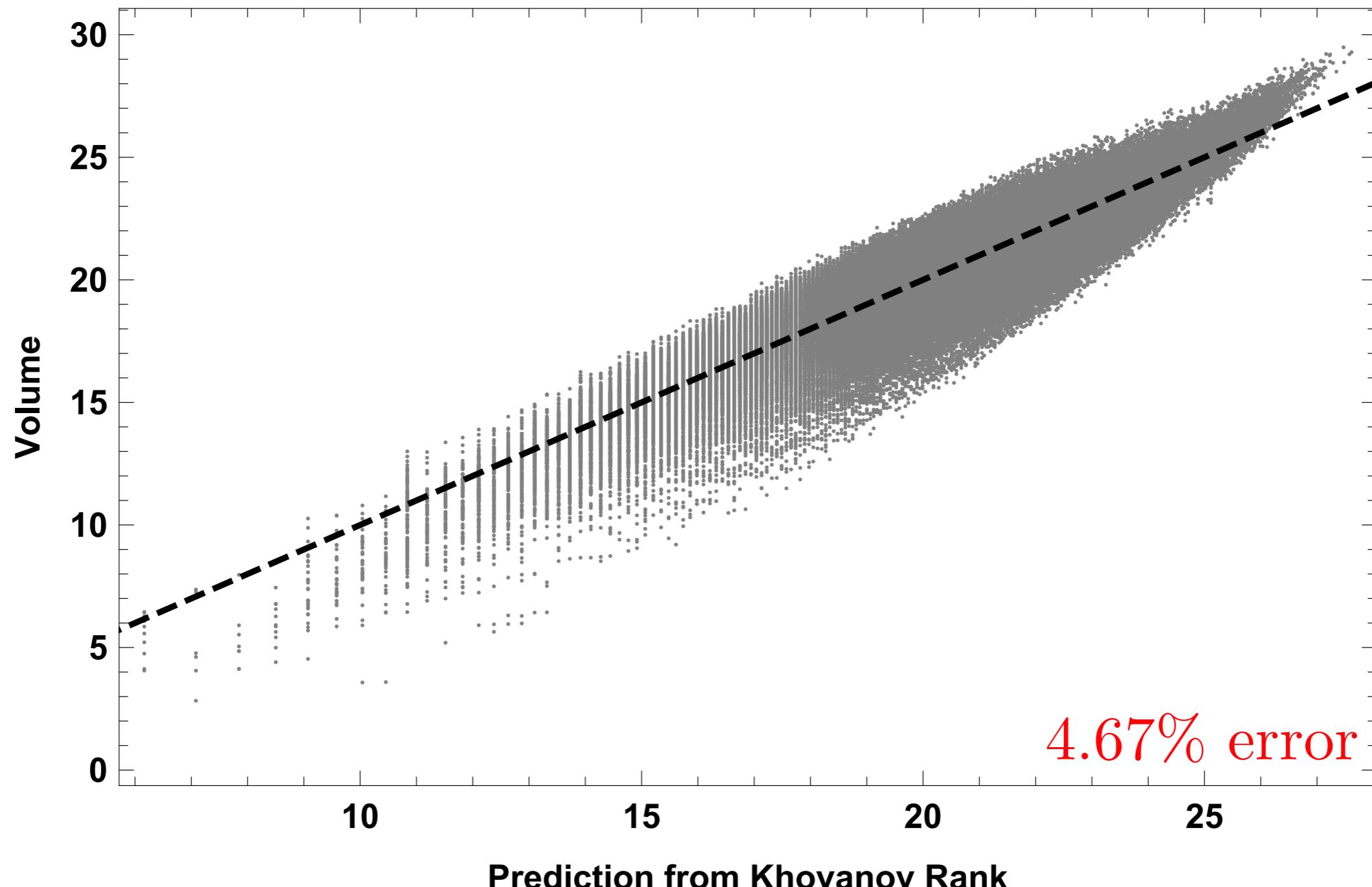
for alternating knots;  $v_0 = - \int_0^{\pi/3} dt \log(2 \sin \frac{t}{2})$ , volume of regular ideal tetrahedron  
 $\approx 1.0149416$

Dasbach, Lin (2007)

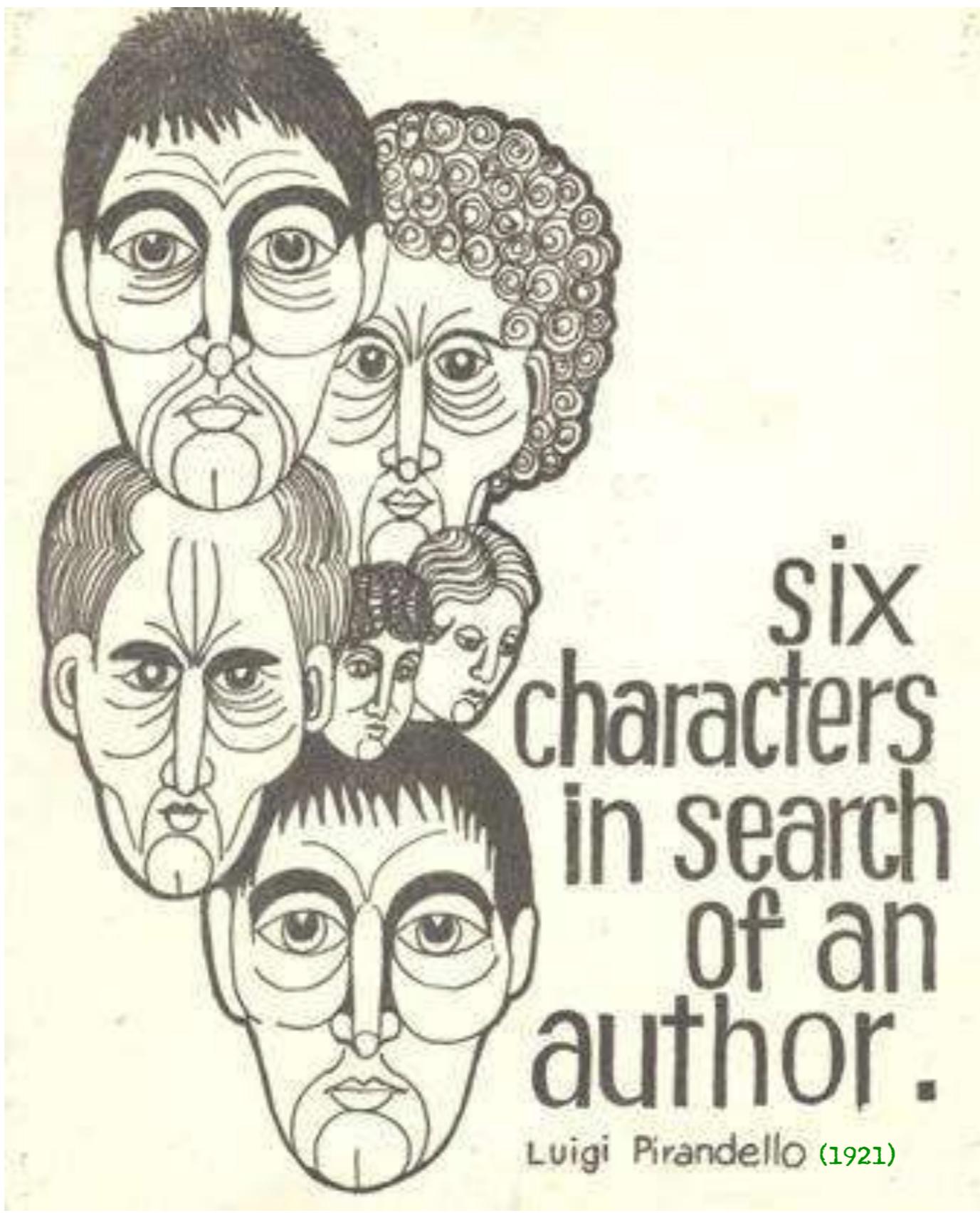
# Volume-ish Bound



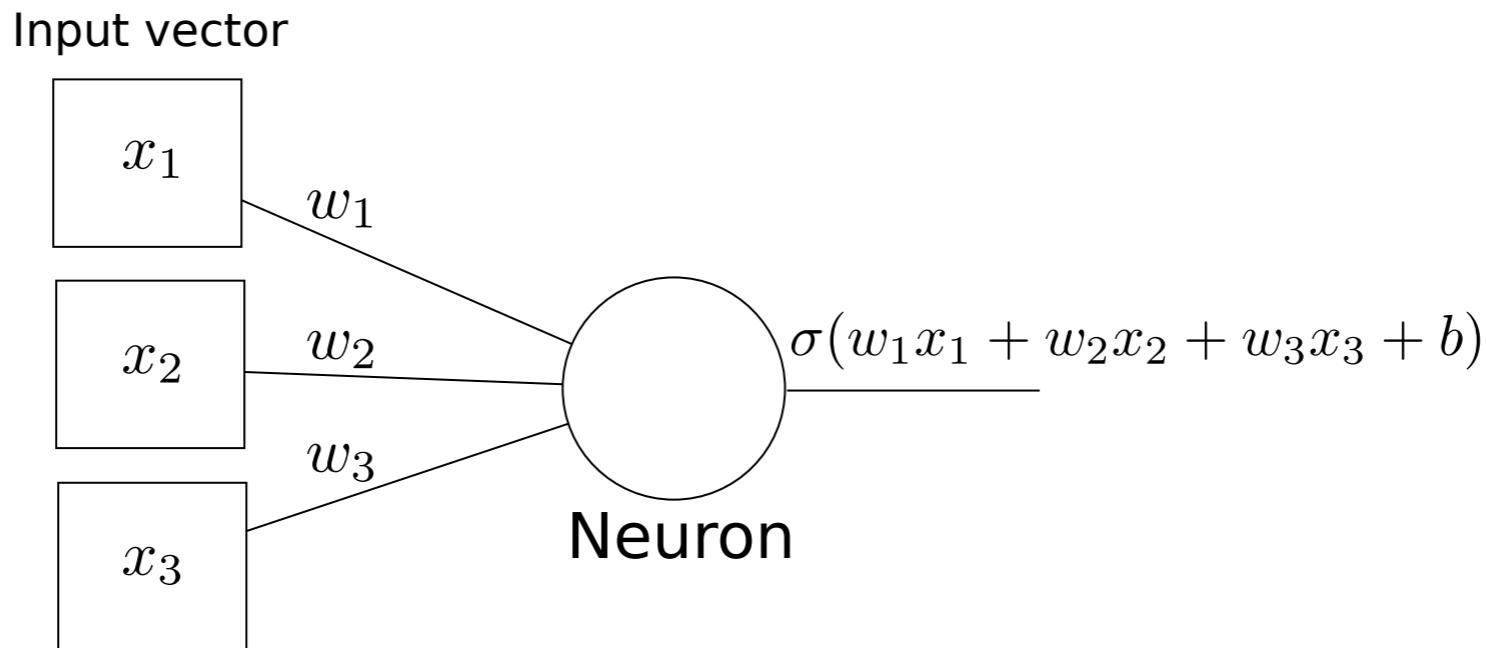
# Khovanov Homology



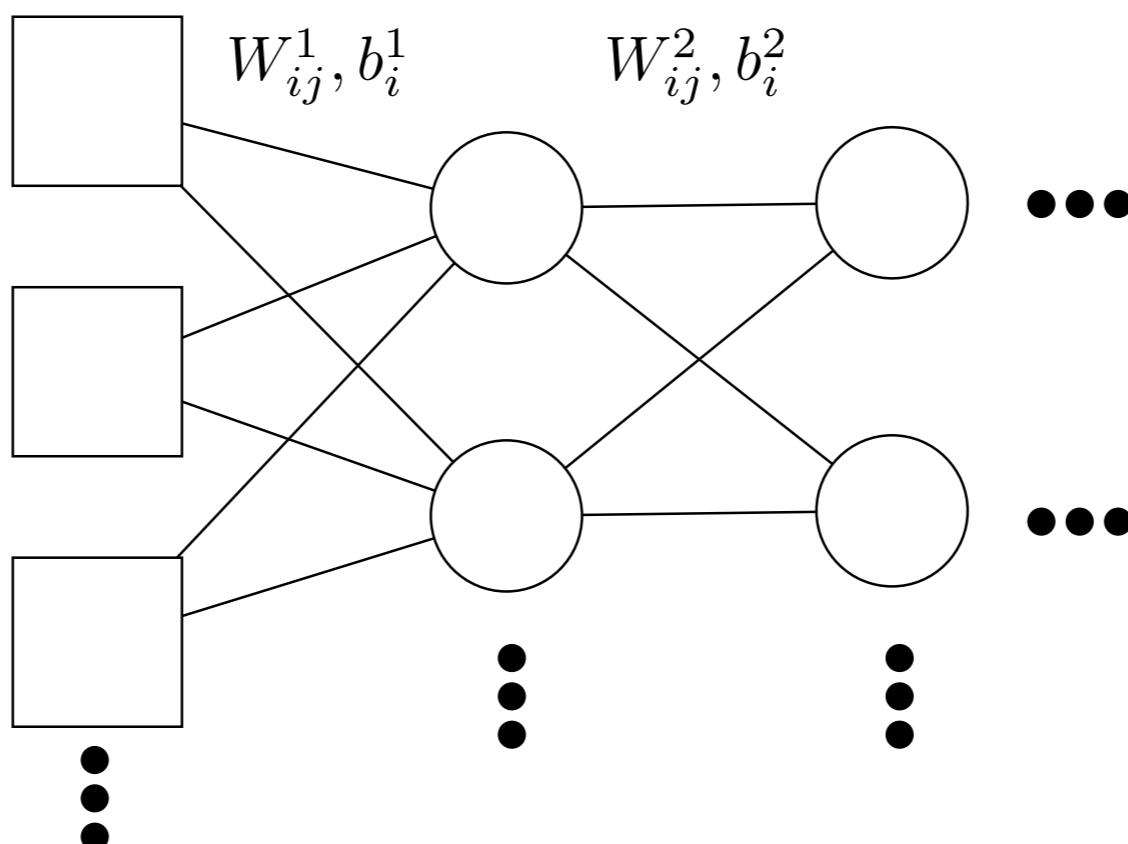
# Dramatis Personae



# Feedforward Neural Networks



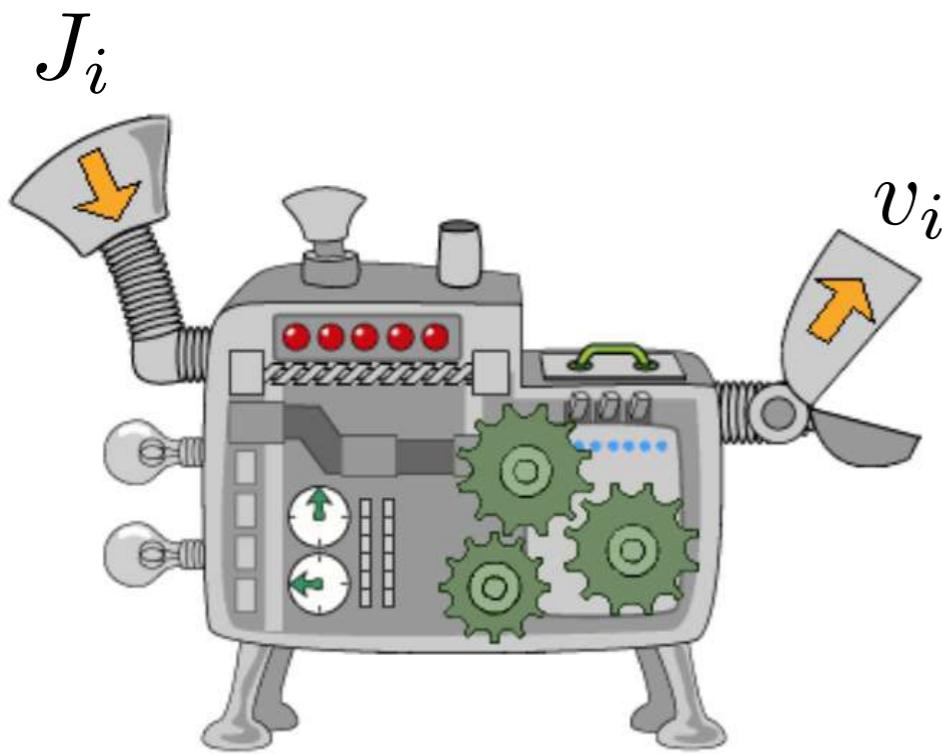
Rosenblatt (1957)



Mathematica 10+

Schematic representation of feedforward neural network. The top figure denotes the perceptron (a single neuron), the bottom, the multiple neurons and multiple layers of the neural network.

# Neural Network



$$\{J_1, \dots, J_n\} \longrightarrow \{v_1, \dots, v_n\}$$

$$J_i \in T$$

$$\{J'_1, \dots, J'_m\} \longrightarrow ???$$

$$J'_i \in T^c$$

Jones polynomials are represented as 18-vectors

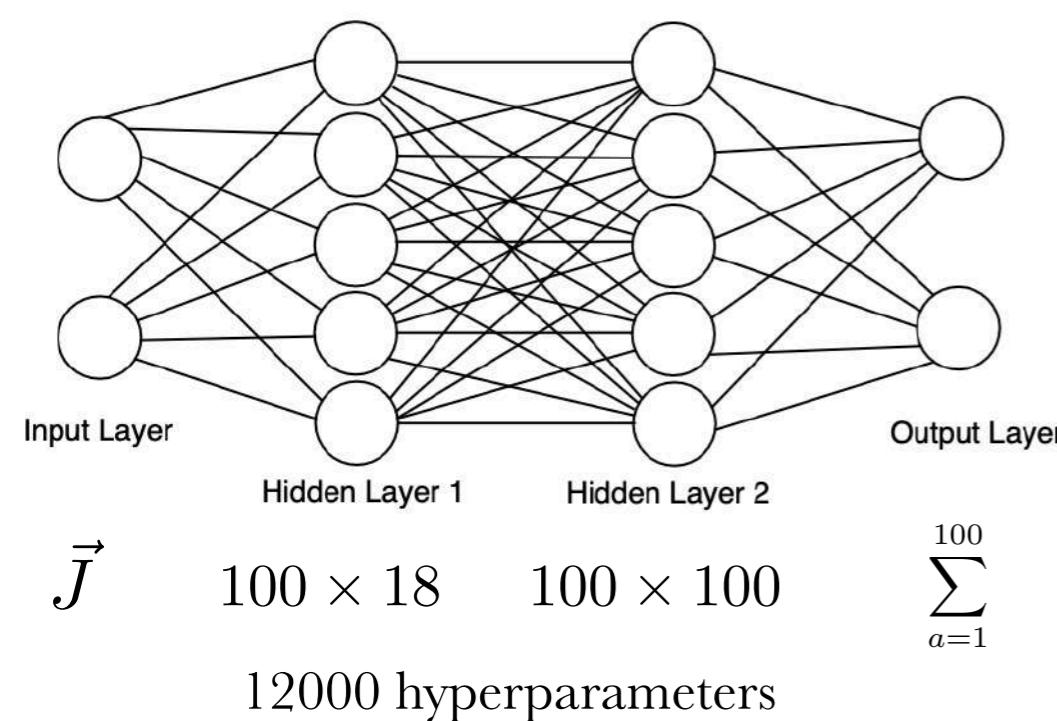
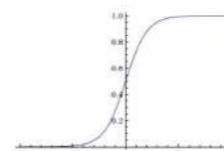
$$\vec{J}_K = (\min, \max, \text{coeffs}, 0, \dots, 0)$$

Two layer neural network in Mathematica

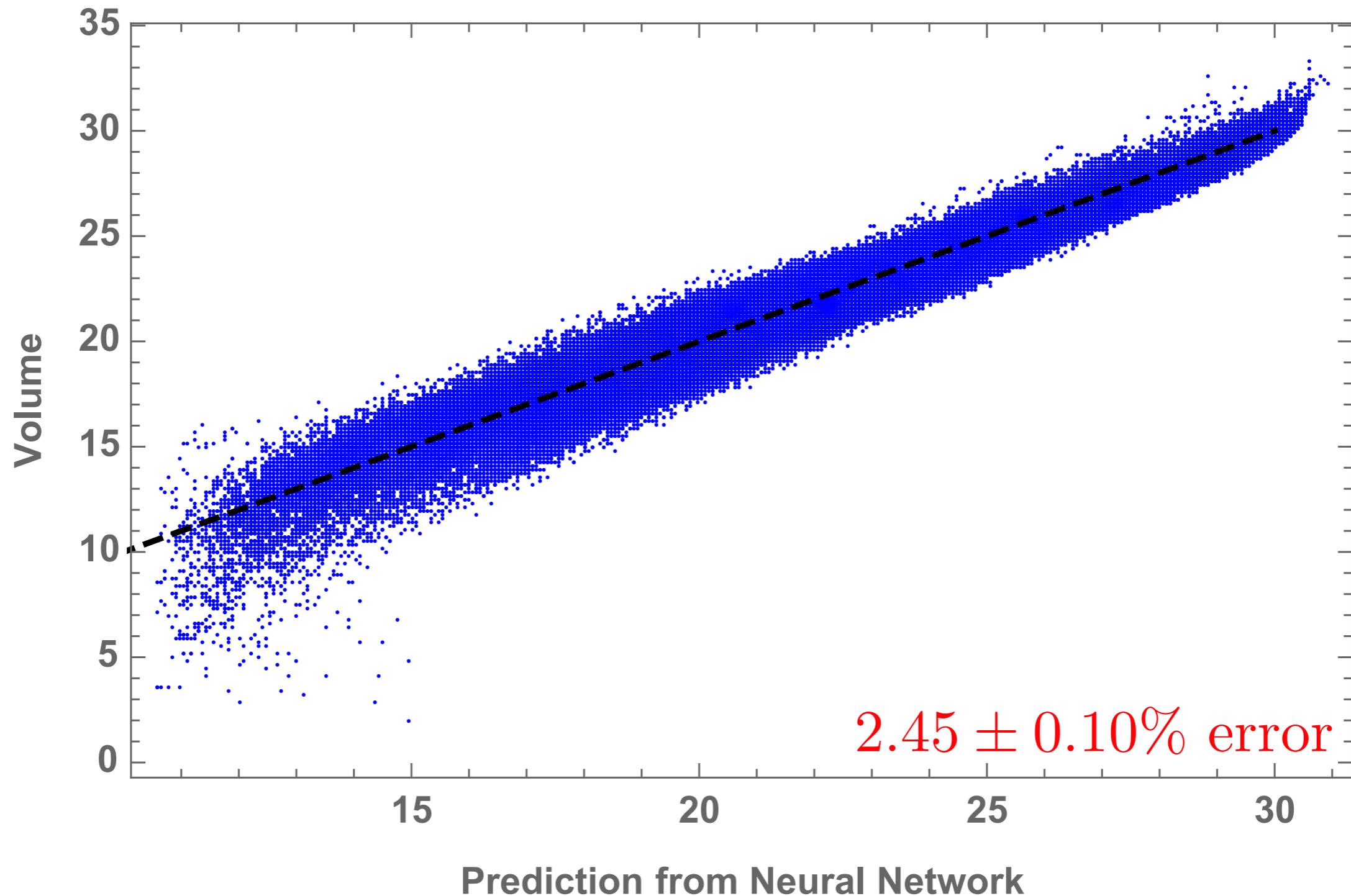
$$f_\theta(\vec{J}_K) = \sum_a \sigma \left( W_\theta^2 \cdot \sigma(W_\theta^1 \cdot \vec{J}_K + \vec{b}_\theta^1) + \vec{b}_\theta^2 \right)^a$$

Logistic sigmoids for the hidden layers

$$\sigma(x) = \frac{1}{1 + e^{-x}}$$

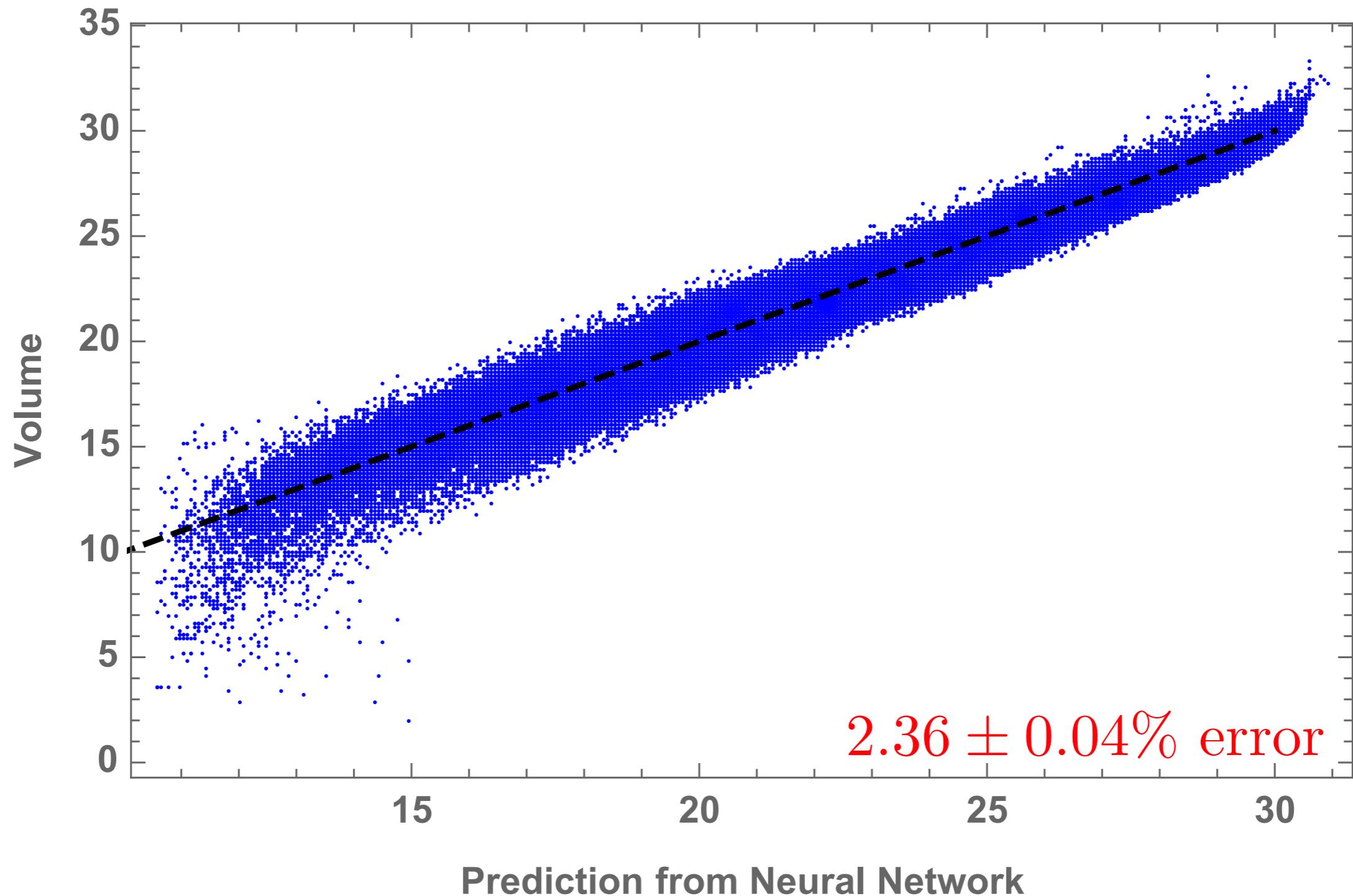


# Neural Network



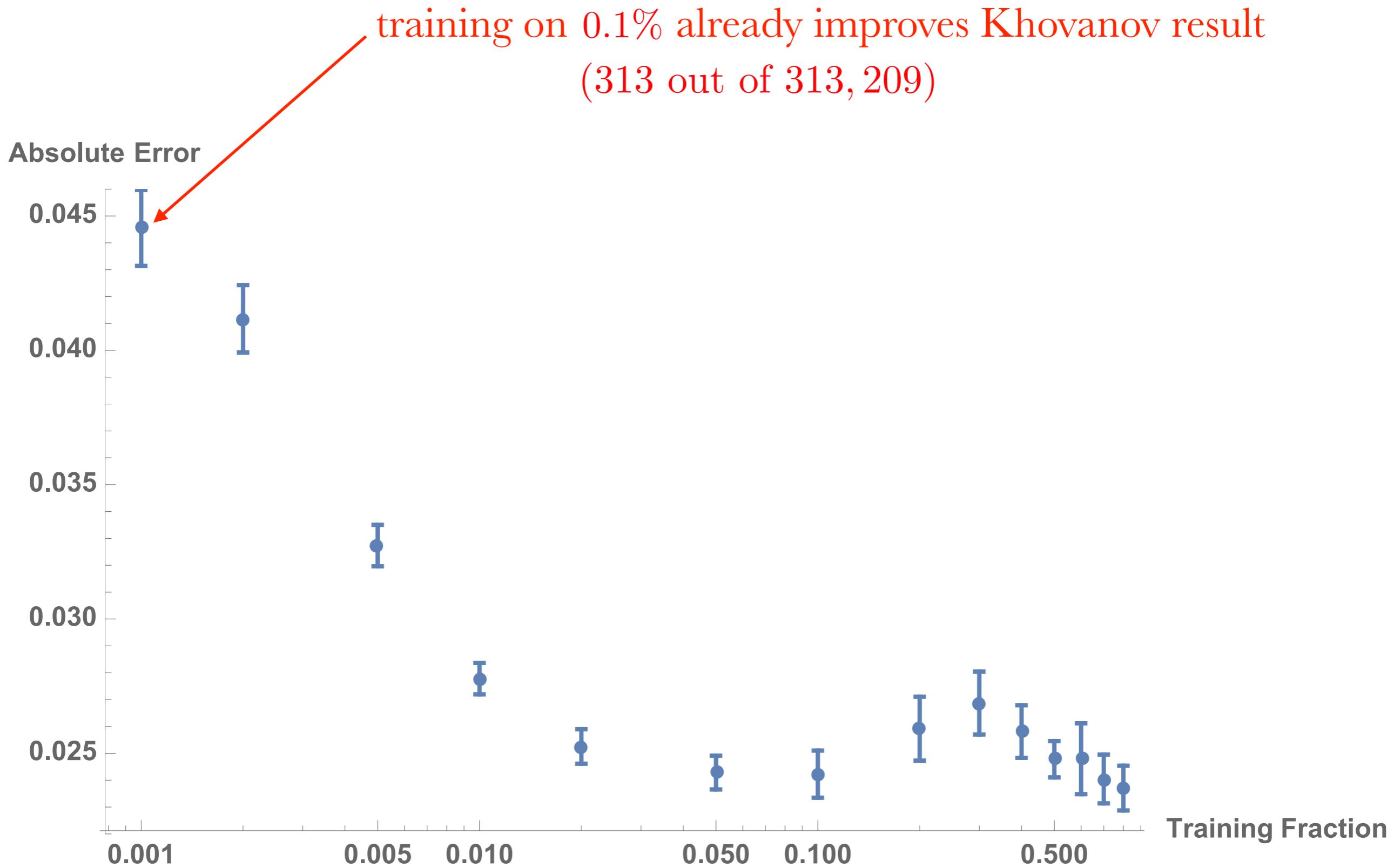
trained on 10% of the 313,209 knots up to 15 crossings

# Neural Network



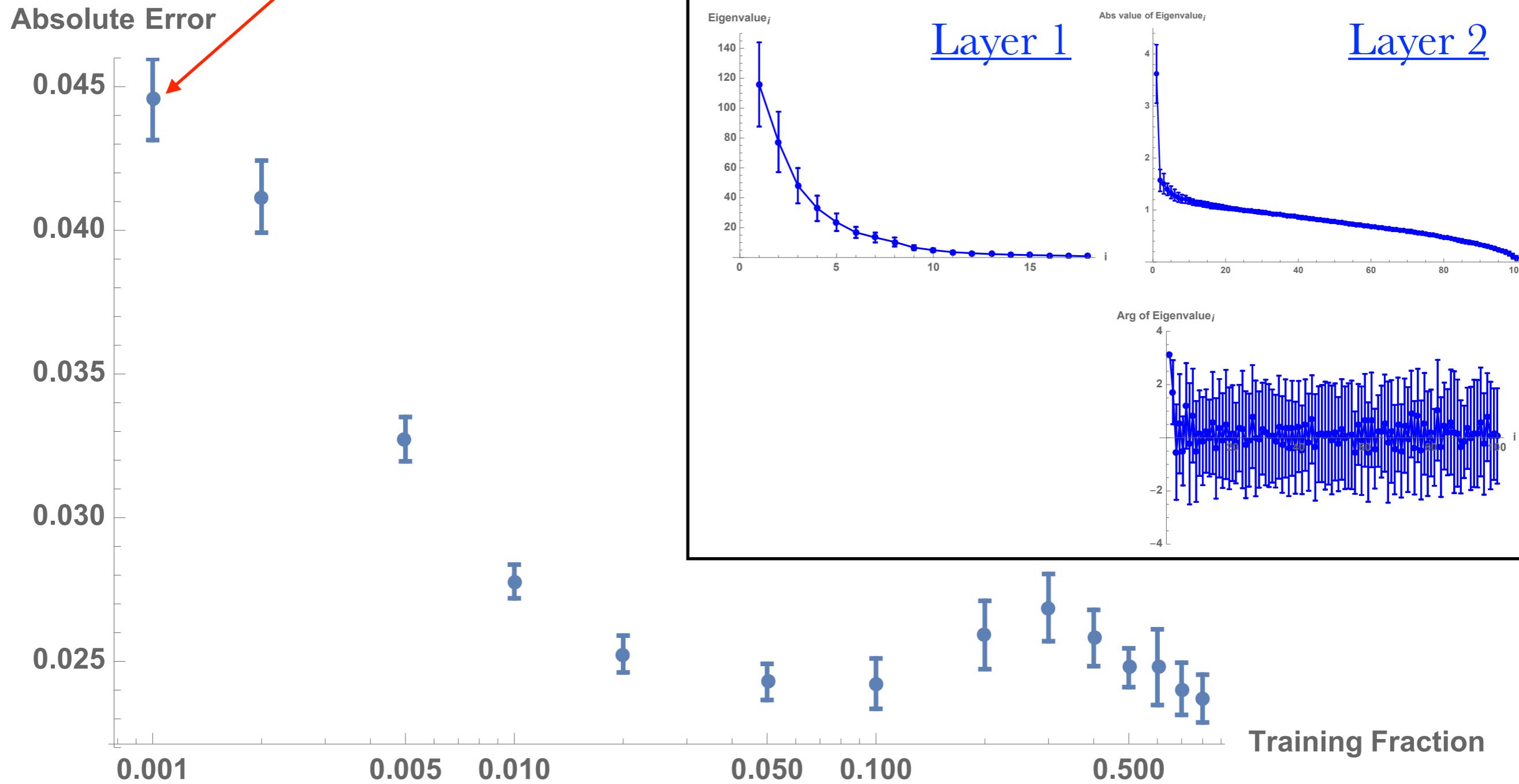
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# Learning Curve



# Learning Curve

training on 0.1% already improves Khovanov result  
(313 out of 313, 209)



# Result

$$v_i = f(J_i) + \text{small corrections}$$

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$$v_i = f(J_i) + \text{small corrections}$$

- $J_i$  does not uniquely identify a knot  
*e.g.*,  $4_1$  and K11n19 have same Jones polynomial, different volumes
- 174,619 unique Jones polynomials  
2.83% average spread in volumes for a Jones polynomial  
intrinsic mitigation against overfitting
- See same behavior with 1,701,903 knots up to 16 crossings  
(database compiled from **Knot Atlas** and **SnapPy**)

# Result

$$v_i = f(J_i) + \text{small corrections}$$

- Neural network does better than more refined topological invariants
- Beyond the volume conjecture in Chern–Simons  
Jones polynomial (quantum)  $\longleftrightarrow$  volume (classical)

{

weak coupling limit of  
 $SL(2, \mathbb{C})$  Chern–Simons

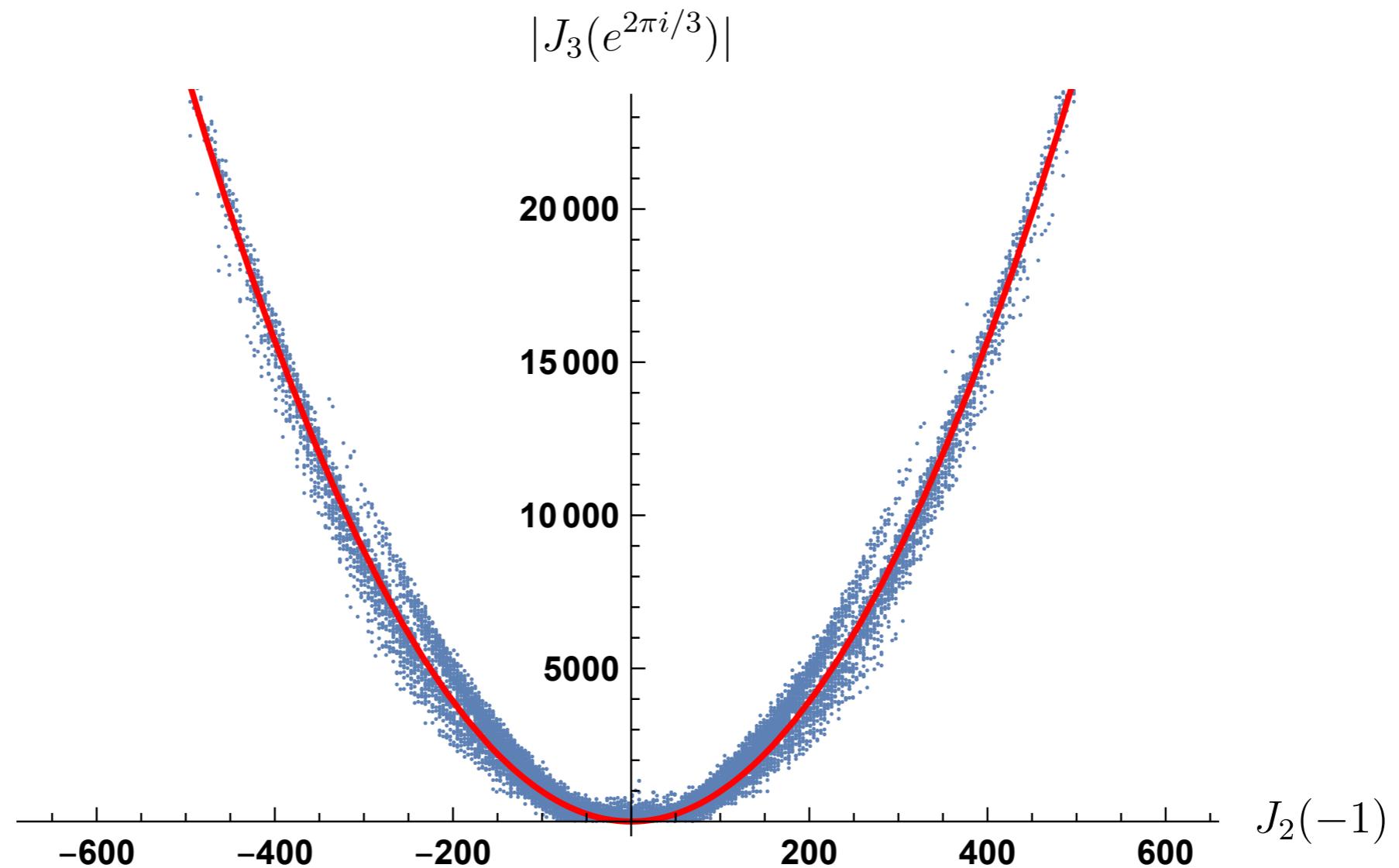
strong coupling limit of  
 $SU(2)$
- Failed experiments (*e.g.*, learning Chern–Simons invariant) also teach us something — maybe about the need for underlying homology theory

$\lim_{N \rightarrow \infty} \frac{2\pi \log J_{K,N}(e^{\frac{2\pi i}{N}})}{N} = \text{Vol}(K) + 2\pi^2 i \text{CS}(K)$

*cf.* Calabi–Yau Hodge numbers,  
line bundle cohomology, etc.
- We want a **not** machine learning knot result

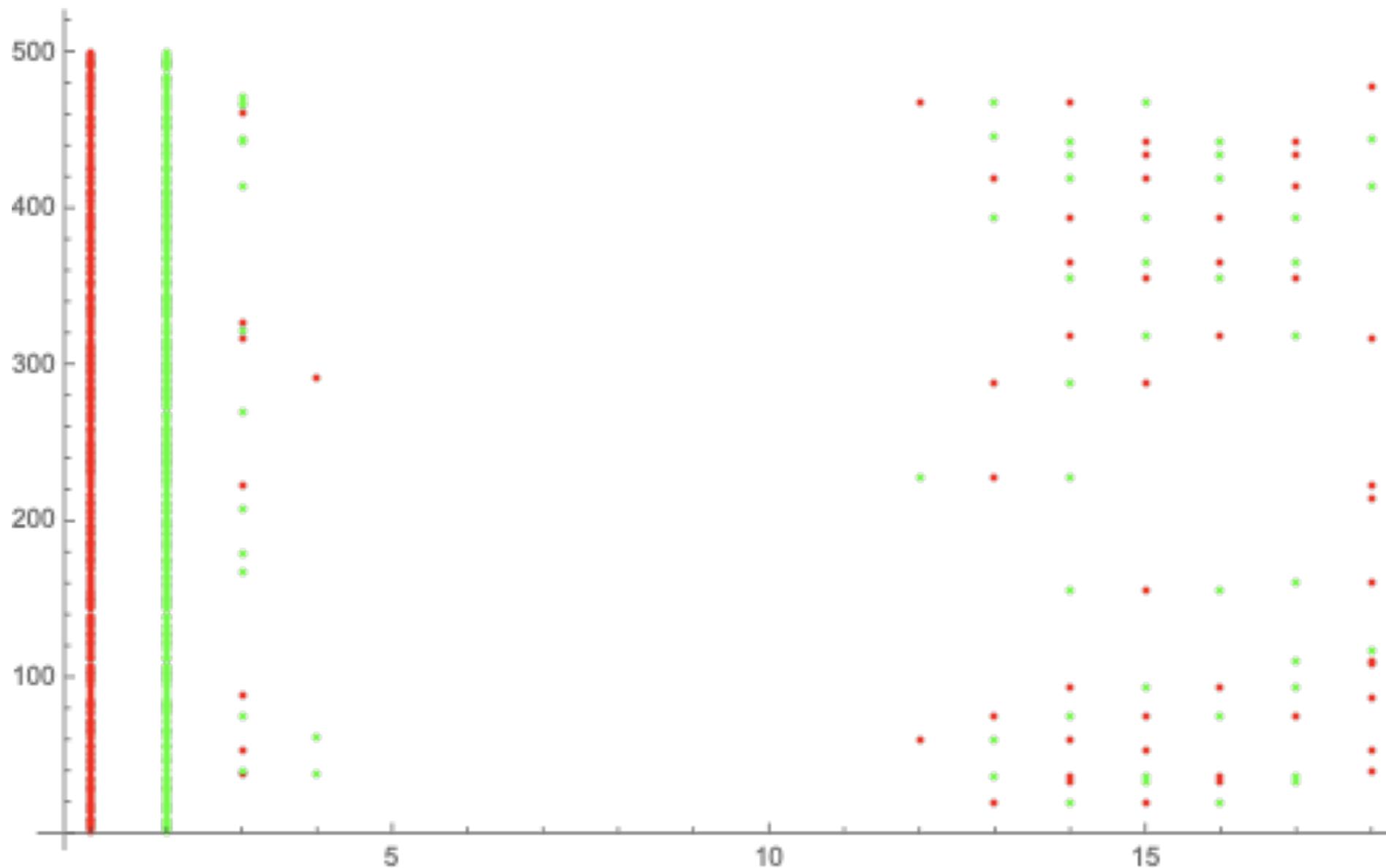
# Towards the Volume Conjecture

- The Volume Conjecture:  $\lim_{N \rightarrow \infty} \frac{2\pi \log |J_{K,N}(e^{\frac{2\pi i}{N}})|}{N} = \text{Vol}(K)$



- 11,921 colored Jones polynomials at  $N = 3$

# Principal Component Analysis



- One layer network — error is only slightly worse:  $2.45 \pm 0.04\%$  error
- Where is the weight matrix most dense? — 500 neurons

# No Degrees Needed

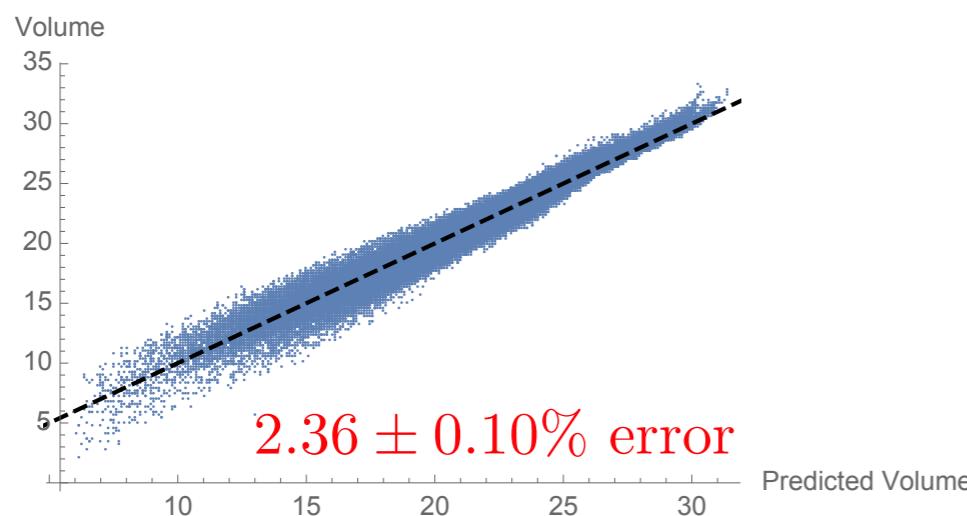
- Jones polynomials were fed in to the neural network as 18-vectors

$$\vec{J}_K = (\min, \max, \text{coeffs}, 0, \dots, 0)$$

- Suppose we drop the degrees so that the Jones polynomial is no longer recoverable from the input vector

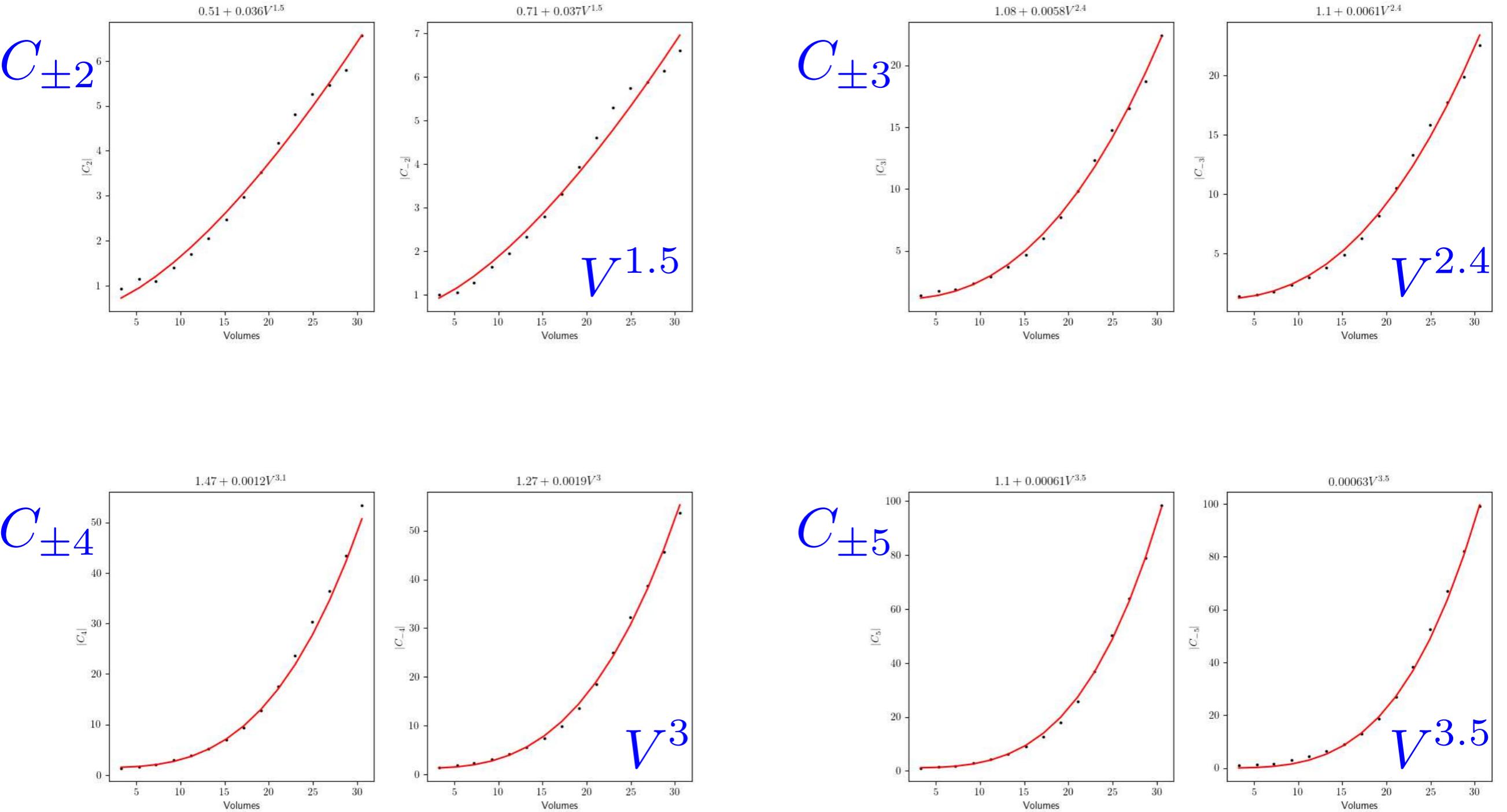
$$\vec{C}_K = (\text{coeffs}, 0, \dots, 0)$$

- Results are unchanged!



Volume is determined only by the coefficients in the Jones polynomial

# Coefficients



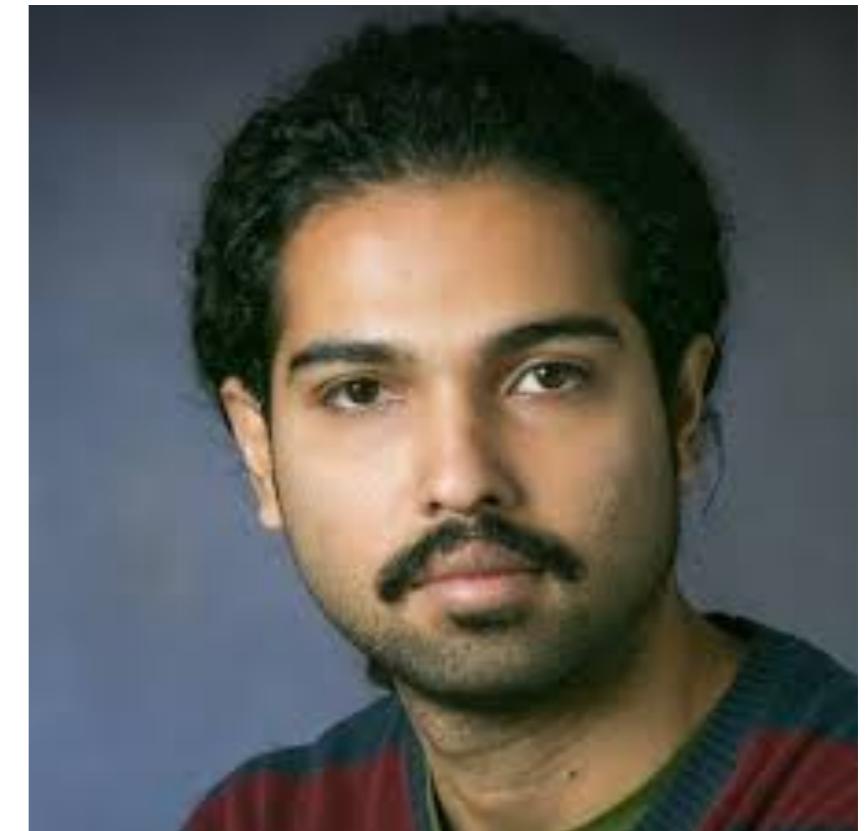
# Collaborators



Jessica Craven



Arjun Kar



Onkar Parrikar

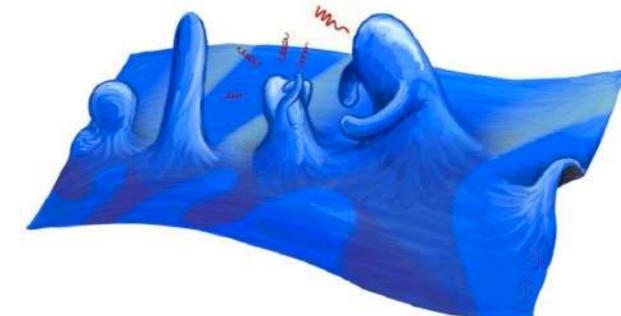


arXiv:1902.05547, 2010.nnnnn

# **Masses in QCD**

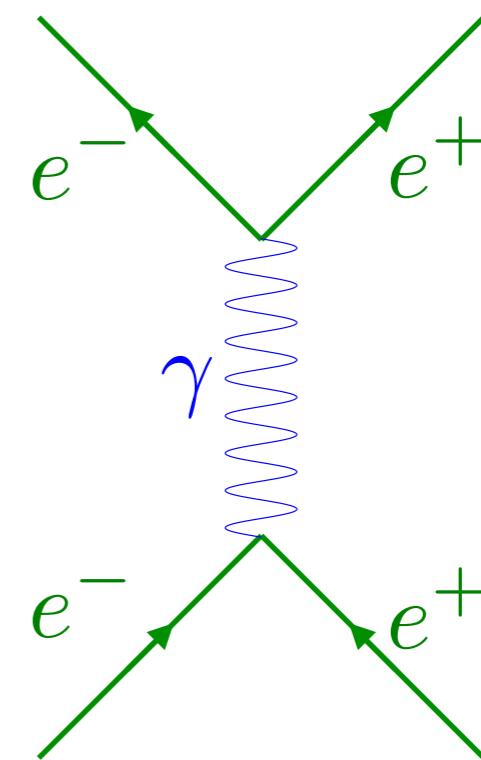
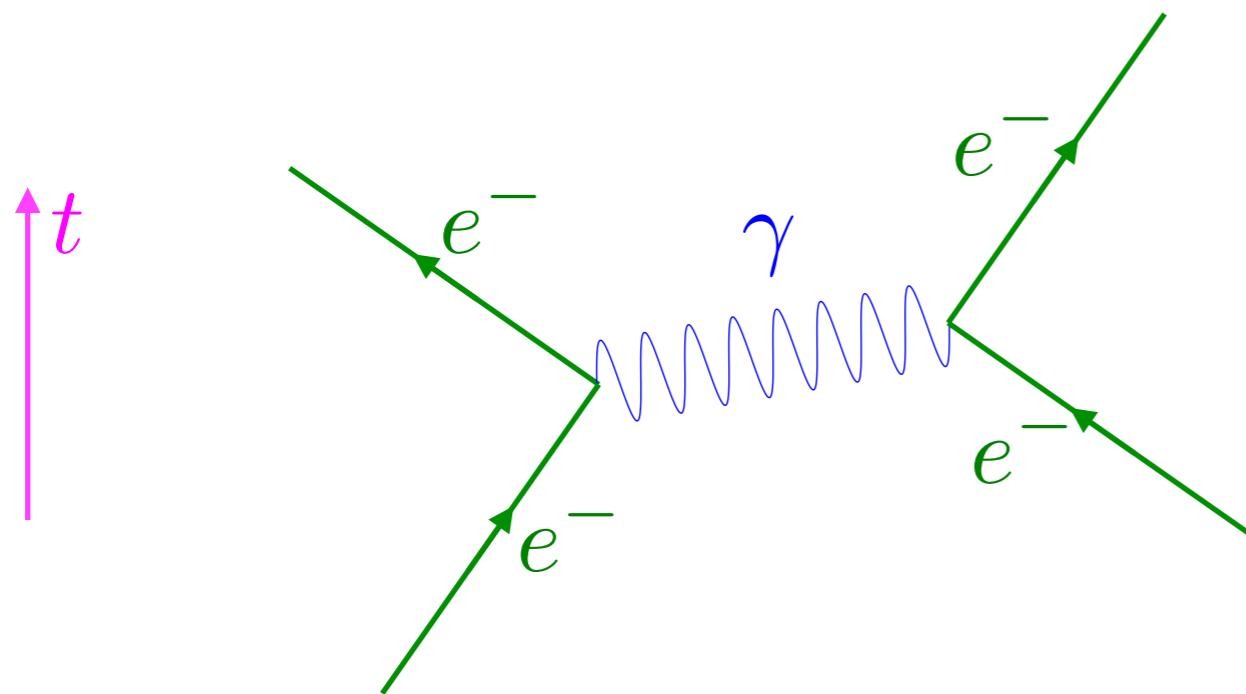
# Quantum Field Theory

- A field has a value at every point in spacetime



- Particles are local excitations of these fields

- To define a quantum field theory, we must specify the fields and how they interact



- Electrons and positrons interact by exchanging photons, for example

# Quantum Field Theory

- Fundamental forces are described by quantum field theory

- Standard Model

electromagnetism  
weak force  
strong force  
Higgs effect

everything except gravity

QUARKS	mass → ≈2.3 MeV/c <sup>2</sup>	charge → 2/3	spin → 1/2	u	c	t	g	H
				up	charm	top	gluon	Higgs boson
	≈4.8 MeV/c <sup>2</sup>	-1/3	1/2	d	s	b	γ	
LEPTONS	0.511 MeV/c <sup>2</sup>	-1	1/2	e	μ	τ	Z	
				electron	muon	tau	Z boson	
	<2.2 eV/c <sup>2</sup>	0	1/2	ν <sub>e</sub>	ν <sub>μ</sub>	ν <sub>τ</sub>	W	
				electron neutrino	muon neutrino	tau neutrino	W boson	Gauge Bosons

- $\alpha_{\text{exp}}^{-1} = 137.035999139(31)$
- $\alpha_{\text{th}}^{-1} = 137.035999173(35)$

LHC has not seen BSM physics  
Nevertheless, SM is incomplete

# Quantum Field Theory

- Fundamental forces are described by quantum field theory

- Standard Model

electromagnetism  
weak force  
strong force  
Higgs effect

QCD

everything except gravity

QUARKS	mass →	$\approx 2.3 \text{ MeV}/c^2$	$\approx 1.275 \text{ GeV}/c^2$	$\approx 173.07 \text{ GeV}/c^2$	$0$	$\approx 126 \text{ GeV}/c^2$	Higgs boson
	charge →	2/3	2/3	2/3	0	0	
	spin →	1/2	1/2	1/2	1	0	
LEPTONS	$\approx 4.8 \text{ MeV}/c^2$	$\approx 95 \text{ MeV}/c^2$	$\approx 4.18 \text{ GeV}/c^2$	$0$	$0$	$0$	GAUGE BOSONS
	-1/3	-1/3	-1/3	0	0	1	
	1/2	1/2	1/2	1	0	1	
GAUGE BOSONS	$0.511 \text{ MeV}/c^2$	$105.7 \text{ MeV}/c^2$	$1.777 \text{ GeV}/c^2$	$91.2 \text{ GeV}/c^2$	$0$	$0$	
	-1	-1	-1	0	1	1	
	1/2	1/2	1/2	1	0	1	
LEPTONS	$<2.2 \text{ eV}/c^2$	$<0.17 \text{ MeV}/c^2$	$<15.5 \text{ MeV}/c^2$	$80.4 \text{ GeV}/c^2$	$0$	$\pm 1$	GAUGE BOSONS
	0	0	0	$\pm 1$	0	1	
	1/2	1/2	1/2	1	0	1	
GAUGE BOSONS	$\mathbf{e}$	$\mu$	$\tau$	$Z$	$\nu_e$	$\nu_\mu$	W boson
	electron	muon	tau	Z boson	electron neutrino	muon neutrino	
	neutrino	neutrino	neutrino	W boson	neutrino	neutrino	

- $\alpha_{\text{exp}}^{-1} = 137.035999139(31)$
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# Confinement

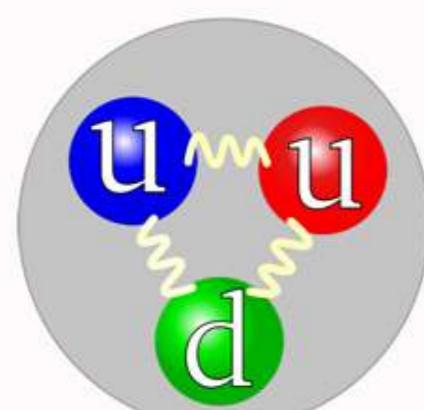
- $q \in \square$ ,  $\bar{q} \in \square$ ,  $g \in \square$  of  $SU(3)$  QCD

- Asymptotic freedom

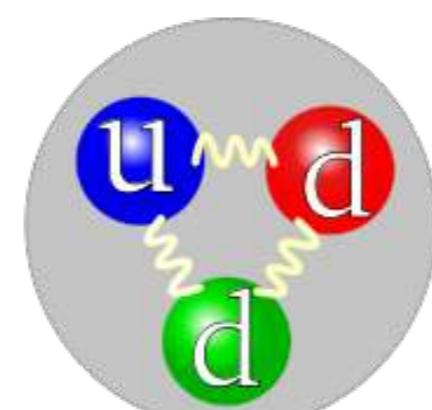
$$\beta(g_s) = \frac{\partial g_s}{\partial \log \mu} = -\frac{g_s^3}{16\pi^2} \left( \frac{11}{3}N - \frac{2}{3}N_f - \frac{1}{3}N_s \right)$$

$$\alpha_s(k^2) = \frac{g_s^2(k^2)}{4\pi}$$

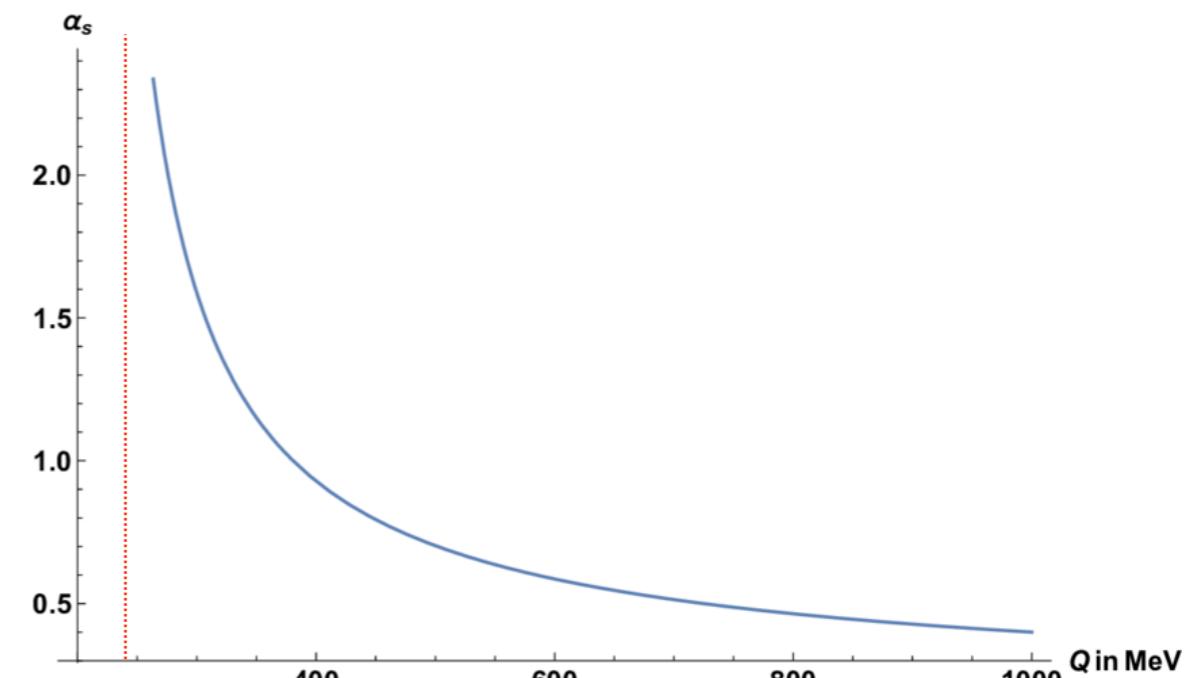
- Infrared slavery



proton



neutron



$$\Lambda_{\text{QCD}} = 218 \pm 24 \text{ MeV}$$

Gross, Wilczek (1973)  
Politzer (1973)

$$d, s, b : Q = -\frac{1}{3}$$

$$u, c, t : Q = +\frac{2}{3}$$

# Hadronic Spectrum

- $q \in \square$ ,  $\bar{q} \in \begin{array}{c} \square \\ \square \end{array}$ ,  $g \in \begin{array}{c} \square \\ \square \\ \square \end{array}$  of  $SU(3)$  QCD

- Mesons and baryons

$$\begin{array}{c} \square \\ \square \end{array} \otimes \begin{array}{c} \square \\ \square \end{array} = \bullet \oplus \begin{array}{c} \square \\ \square \\ \square \end{array}$$

$\mathbf{3} \otimes \overline{\mathbf{3}} = \mathbf{1} \oplus \mathbf{8}$

$$\begin{array}{c} \square \\ \square \end{array} \otimes \begin{array}{c} \square \\ \square \end{array} \otimes \begin{array}{c} \square \\ \square \end{array} = \bullet \oplus 2 \begin{array}{c} \square \\ \square \\ \square \end{array} \oplus \begin{array}{c} \square \\ \square \\ \square \\ \square \end{array}$$

$\mathbf{3} \otimes \mathbf{3} \otimes \mathbf{3} = \mathbf{1} \oplus 2 \times \mathbf{8} \oplus \mathbf{10}$

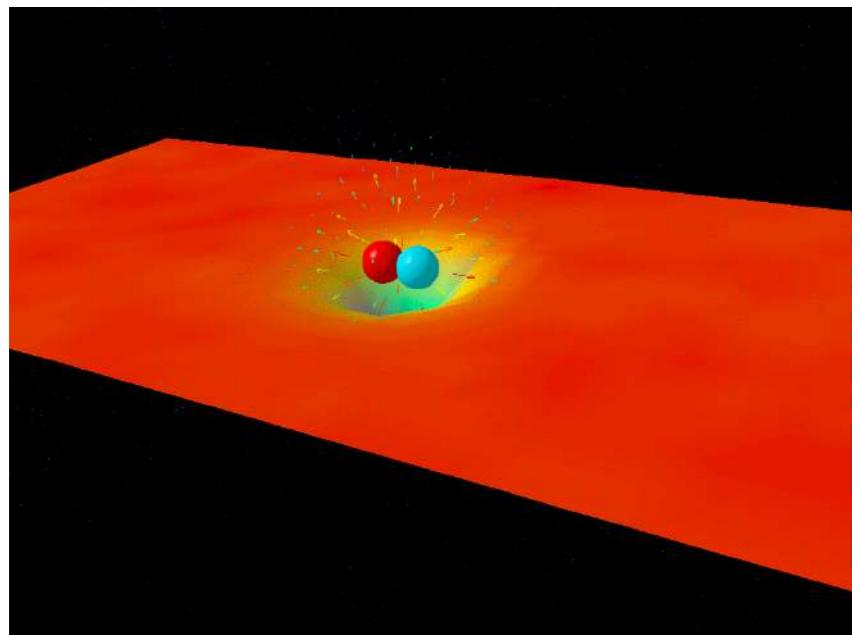
$$\epsilon_{abc} q_i^a q_j^b q_k^c$$

$$q_i^a \bar{q}_{a,j}$$

$$\begin{array}{c} \square \\ \square \end{array} \otimes \begin{array}{c} \square \\ \square \end{array} \otimes \begin{array}{c} \square \\ \square \end{array} = \bullet \oplus 2 \begin{array}{c} \square \\ \square \\ \square \end{array} \oplus \begin{array}{c} \square \\ \square \\ \square \\ \square \\ \square \end{array}$$

$\overline{\mathbf{3}} \otimes \overline{\mathbf{3}} \otimes \overline{\mathbf{3}} = \mathbf{1} \oplus 2 \times \mathbf{8} \oplus \overline{\mathbf{10}}$

$$\epsilon^{abc} \bar{q}_{a,i} \bar{q}_{b,j} \bar{q}_{c,k}$$



meson in QCD

quark/antiquark pair

held together by gluons

} **color confinement**

# Hadronic Spectrum

- $q \in \square$ ,  $\bar{q} \in \begin{array}{c} \square \\ \square \end{array}$ ,  $g \in \begin{array}{c} \square \\ \square \\ \square \end{array}$  of  $SU(3)$  QCD

- Mesons and baryons

$$\square \otimes \begin{array}{c} \square \\ \square \end{array} = \bullet \oplus \begin{array}{c} \square \\ \square \\ \square \end{array}$$

$\mathbf{3} \otimes \overline{\mathbf{3}} = \mathbf{1} \oplus \mathbf{8}$

$$\square \otimes \square \otimes \square = \bullet \oplus 2 \begin{array}{c} \square \\ \square \\ \square \end{array} \oplus \begin{array}{c} \square \\ \square \\ \square \\ \square \end{array}$$

$\mathbf{3} \otimes \mathbf{3} \otimes \mathbf{3} = \mathbf{1} \oplus 2 \times \mathbf{8} \oplus \mathbf{10}$

$$\epsilon_{abc} q_i^a q_j^b q_k^c$$

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$$\begin{array}{c} \square \\ \square \end{array} \otimes \begin{array}{c} \square \\ \square \end{array} \otimes \begin{array}{c} \square \\ \square \end{array} = \bullet \oplus 2 \begin{array}{c} \square \\ \square \\ \square \end{array} \oplus \begin{array}{c} \square \\ \square \\ \square \\ \square \\ \square \end{array}$$

$\overline{\mathbf{3}} \otimes \overline{\mathbf{3}} \otimes \overline{\mathbf{3}} = \mathbf{1} \oplus 2 \times \mathbf{8} \oplus \overline{\mathbf{10}}$

$$\epsilon^{abc} \bar{q}_{a,i} \bar{q}_{b,j} \bar{q}_{c,k}$$

- Most of hadron's mass from strong coupling effects (*viz.*, confinement)
- In large- $N$ , baryons are solitons in meson spectrum

mesons essentially free particles with couplings  $\mathcal{O}(\frac{1}{N})$

baryons monopole-like excitations with masses  $\mathcal{O}(N)$

Witten (1979)

# Hadronic Spectrum

- 196 mesons, 43 baryons — mesons are bosons, baryons are fermions
  - (integer spin)
  - (half-integer spin)
- Valence quark content from Monte Carlo analysis described in Particle Data Book
- Include:  $I$  ,  $J$  ,  $P$  ; do not include  $G$  ,  $C$  , width due to elisions
- Optimize architecture of neural network based on training with 80% of mesons to predict masses
- Test the neural network on baryons
- Predict tetraquark, pentaquark masses; discriminate composition hypotheses

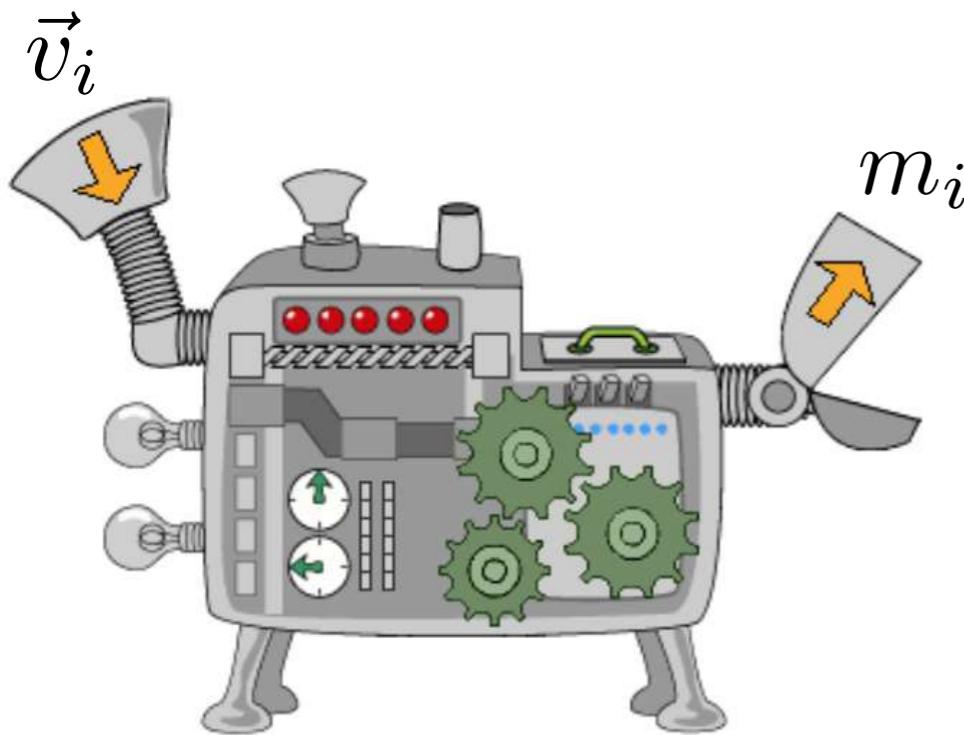
# AdS/ML

- Beautiful recent work by Hashimoto, *et al.*
- Train on lattice data for chiral condensate vev vs. quark mass
- Obtain metric from parameters in neural network and follow AdS/QCD dictionary despite not being in large- $N$  limit
- Predict form of quark–antiquark potential

Hashimoto, Sugishita, Tanaka, Tomiya (2018)  
Hashimoto (2019)  
Akutagawa, Hashimoto, Sugimoto (2020)

- We do something similar: we train on one data set and predict on a different data set

# Neural Network



$$\{\vec{v}_1, \dots, \vec{v}_n\} \longrightarrow \{m_1, \dots, m_n\}$$

fix architecture training on 80% of mesons

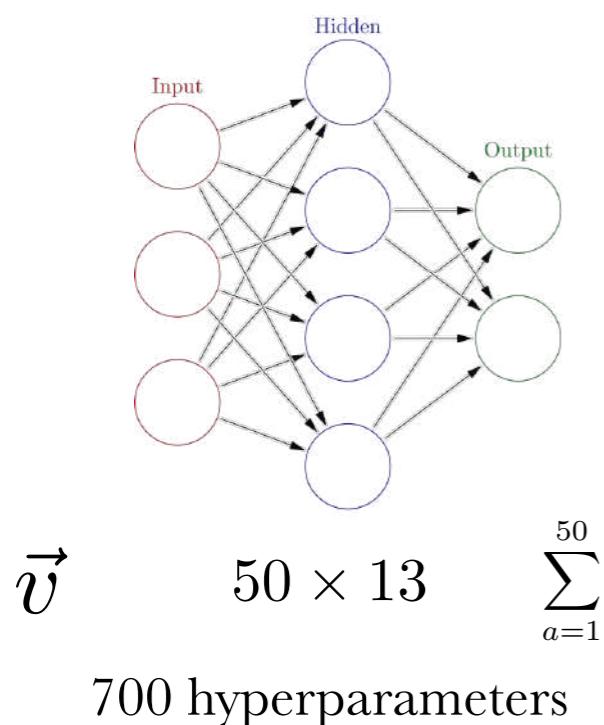
$$\{\vec{v}'_1, \dots, \vec{v}'_\ell\} \longrightarrow ???$$

baryons for testing, predict pentaquarks, etc.

hadrons as 13-vectors

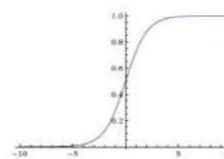
$$\vec{v} = (d, \bar{d}, u, \bar{u}, s, \bar{s}, c, \bar{c}, b, \bar{b}, I, J, P)$$

Monolayer neural network in **Mathematica**



Logistic sigmoids for the hidden layer

$$\sigma(x) = \frac{1}{1 + e^{-x}}$$



# Gaussian Process

- Stochastic process such that every finite collection of random variables has a multivariate normal distribution
- Can be used as a prior probability distribution in Bayesian inference
- Interpolation and statistical inference via **kriging** or **Wiener—Kolmogorov prediction**
- Infinite width limit of single hidden layer, fully connected neural network

Neal (1994)

# Gaussian Process

- Choose **kernel**  $K_{ij} := k(x_i, x_j)$

$$k_{\text{SE}}(x_i, x_j) = \sigma_f^2 \exp\left(-\frac{1}{2}(x_i - x_j)^T \Lambda^{-1} (x_i - x_j)\right)$$

$$k_{\text{RQ}}(x_i, x_j) = \sigma_f^2 \left(1 + \frac{1}{2\alpha}(x_i - x_j)^T \Lambda^{-1} (x_i - x_j)\right)^{-\alpha}$$

  
diagonal matrix

- Define **covariance**  $\text{cov}(x_i, x_j) := k(x_i, x_j) + N_{ij}$  ,  $N_{ij} = \sigma_n^2 \delta_{ij}$

- Let  $x_i \mapsto y_i$  ,  $i = 1, \dots, M$

- Maximize **log marginal likelihood** to fix hyperparameters

$$\log p(y|X) := -\frac{1}{2}y^T(K + N)^{-1}y - \frac{1}{2}\log|K + N| - \frac{M}{2}\log(2\pi)$$

  
small data

# Gaussian Process

- Let  $x_\star$  be a new vector;  $k_{\star,i} = k(x_\star, x_i)$  defines an  $M$ -vector
- We predict the **mean** and **variance**

$$\mu_\star = {k_\star}^T (K + N)^{-1} y$$

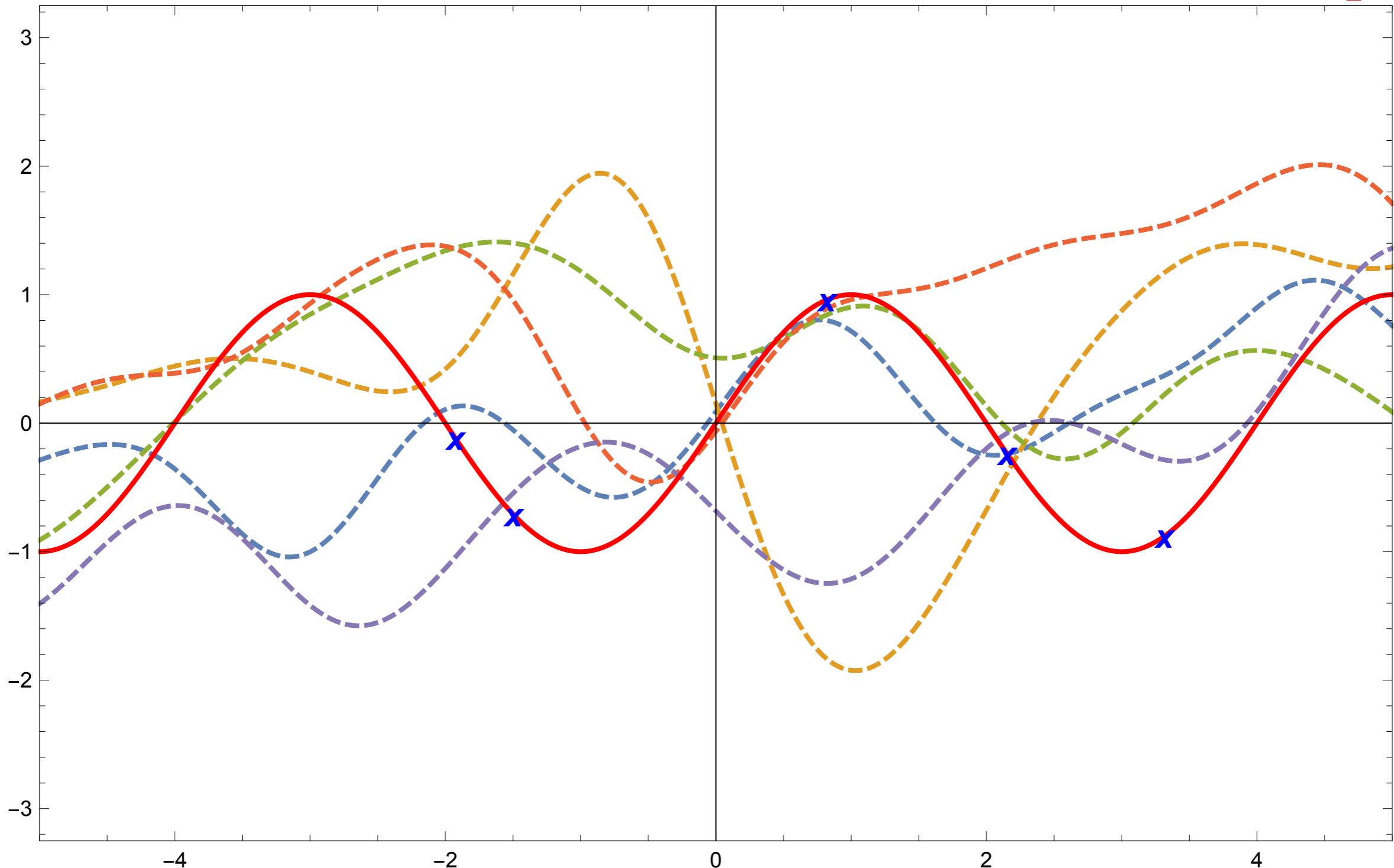
$$\text{var}_\star = k(x_\star, x_\star) - k_\star^T (K + N)^{-1} k_\star$$

- Implemented with GPML 4.2 in Matlab R2019\_b

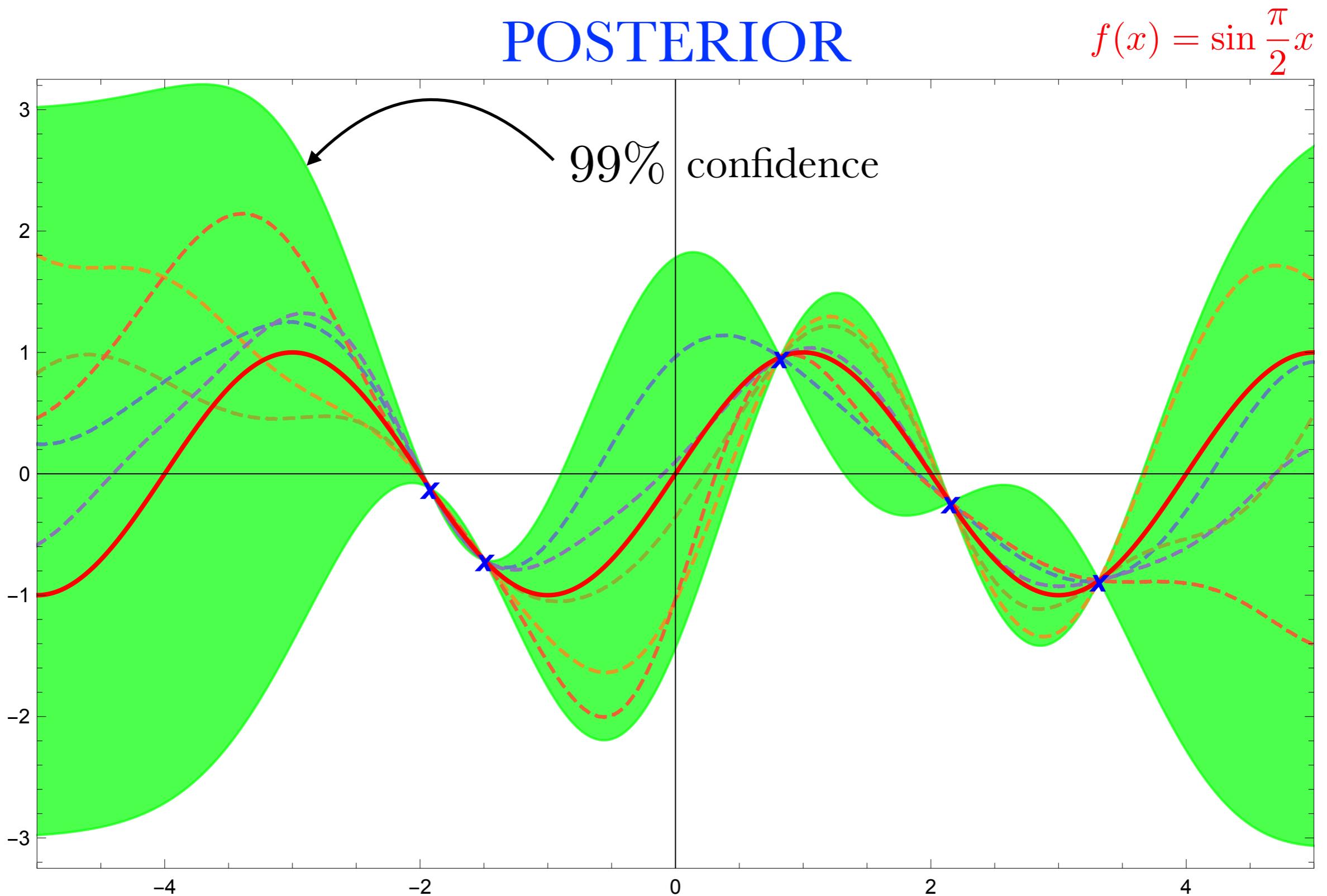
# Gaussian Process

PRIOR

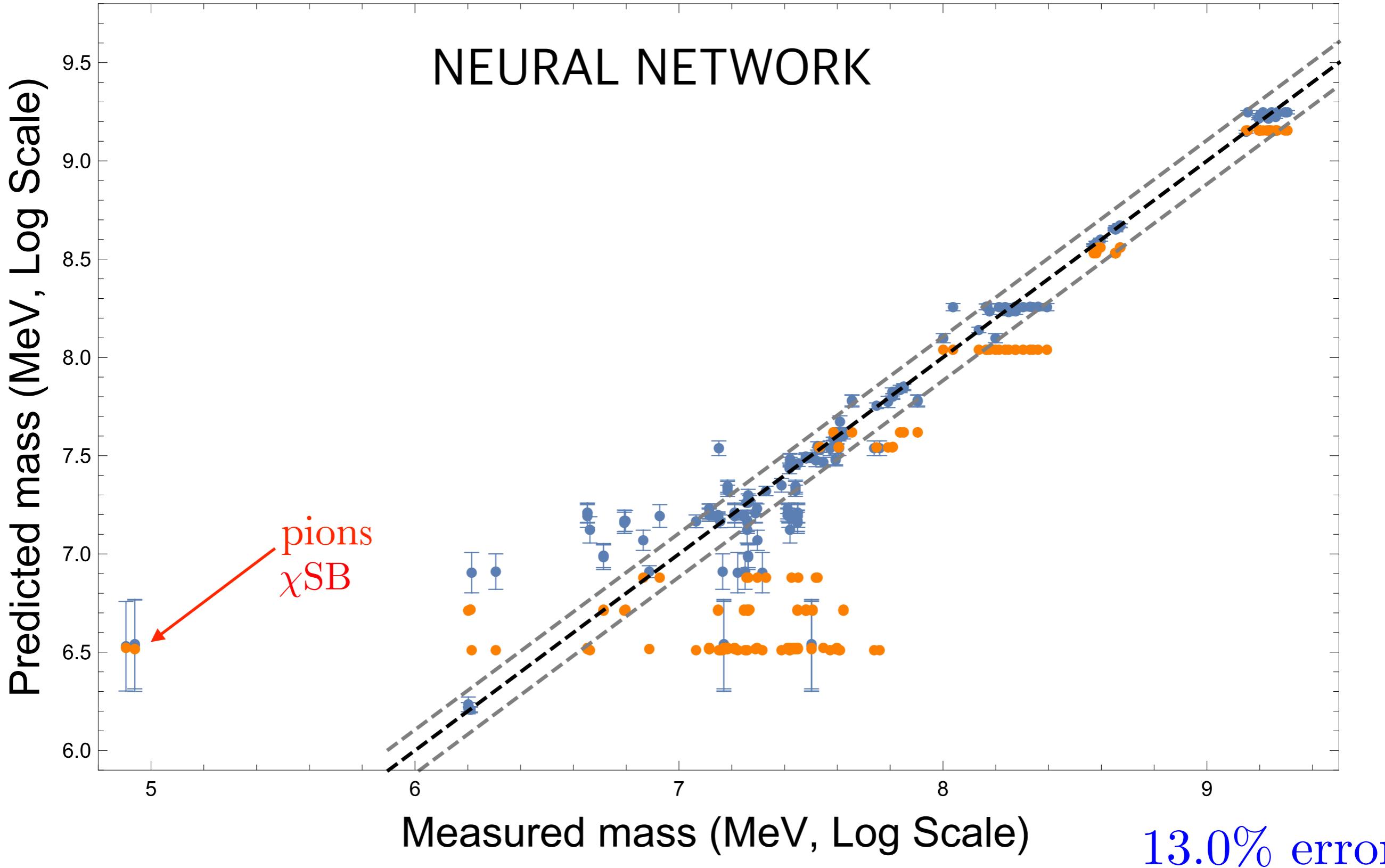
$$f(x) = \sin \frac{\pi}{2}x$$



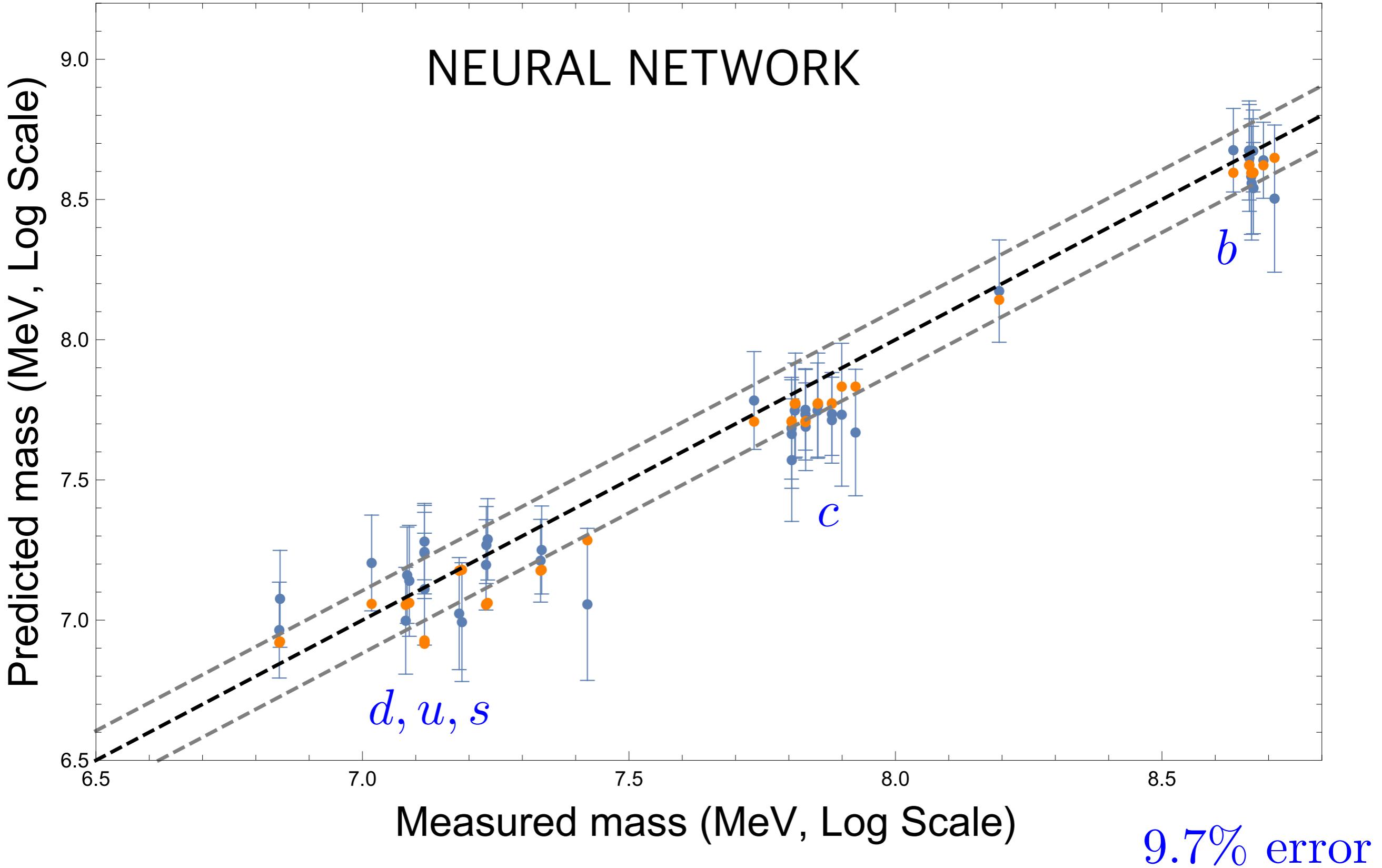
# Gaussian Process



# Mesons

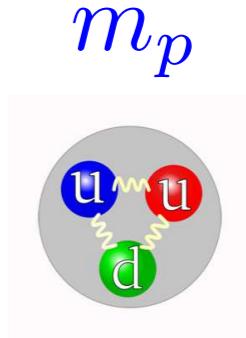
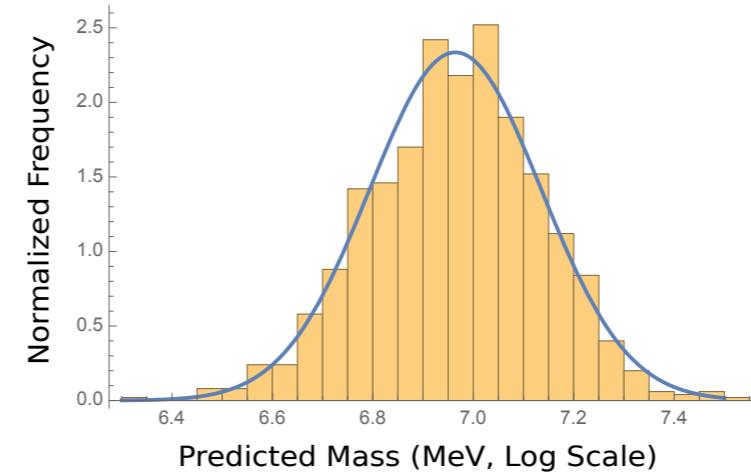
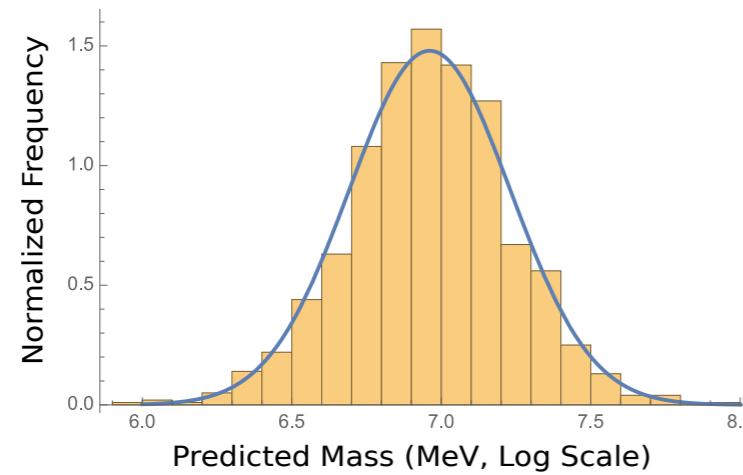


# Baryons



# Error Analysis

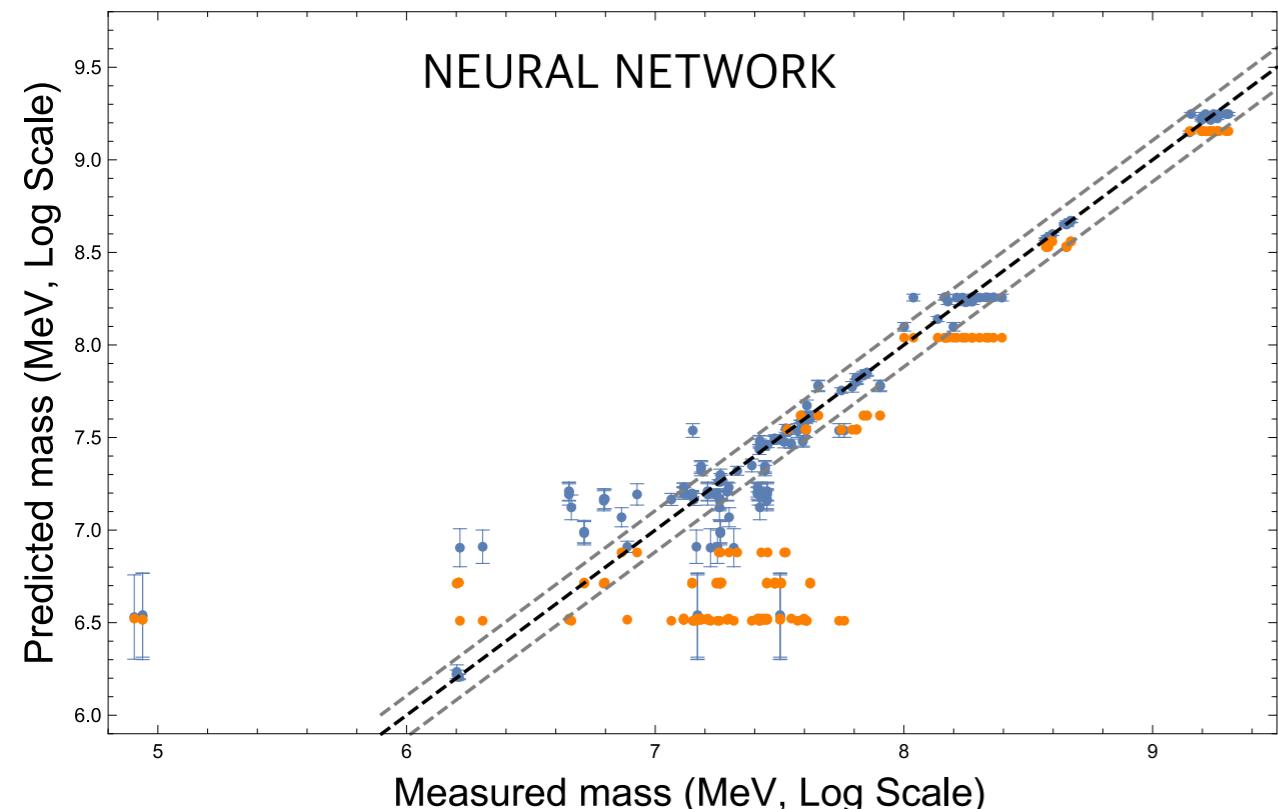
- We do  $\vec{v}_i \mapsto \log m_i$ ; effectively divide mass by 1 MeV  
training vectors do not uniquely identify a meson
- Posterior distribution has mean  $\mu$  and variance  $\sigma$



- We predict  $m = e^{\mu + \sigma^2/2}$  from log normal distribution
- $\sigma_m^2 = (e^{\sigma^2} - 1)e^{2\mu + \sigma^2}$
- Use 1000 runs

# Constituent Quark Model

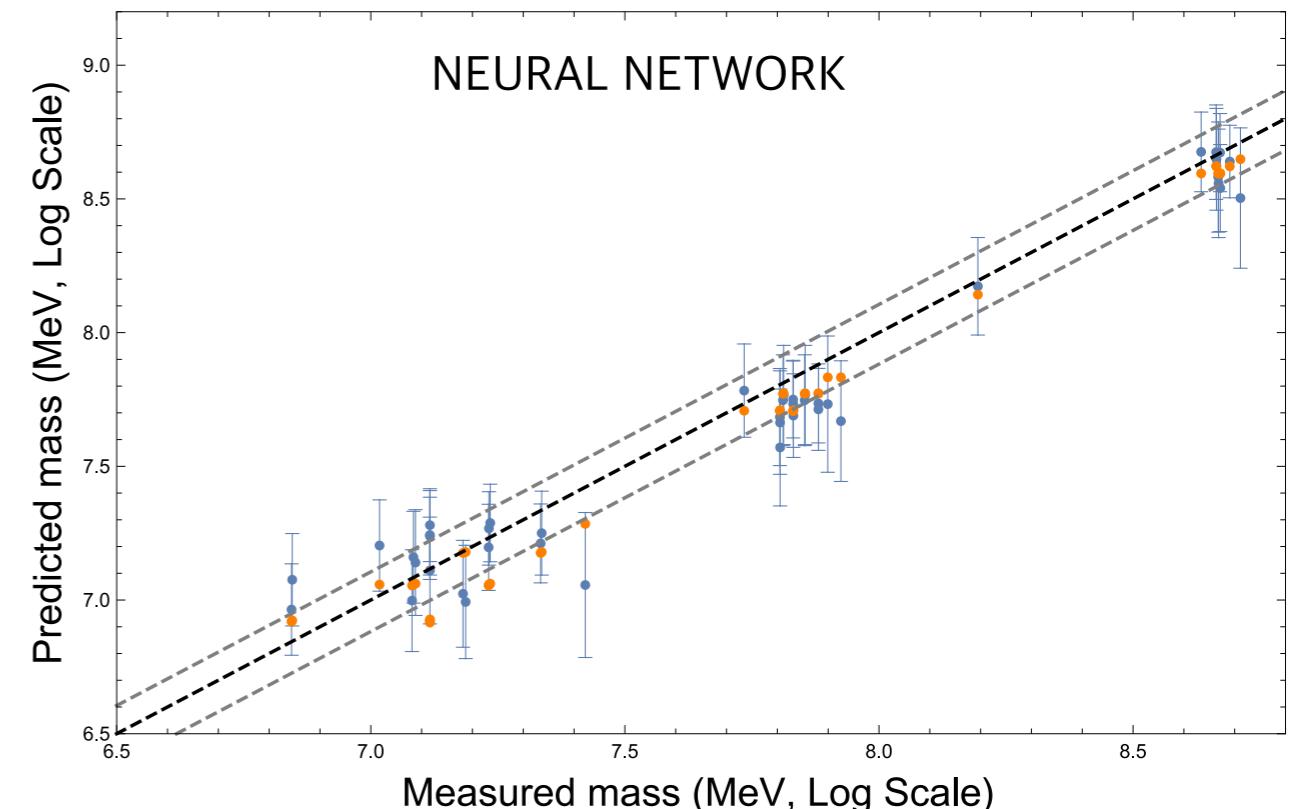
## Mesons



13.0% error

39.3% error

## Baryons



9.7% error

8.7% error

$u : 336 \text{ MeV}$

$d : 340 \text{ MeV}$

$s : 486 \text{ MeV}$

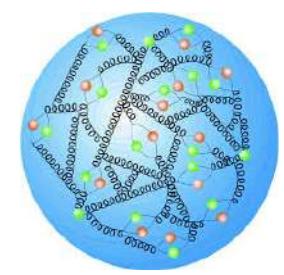
$c : 1550 \text{ MeV}$

$b : 4730 \text{ MeV}$

**Constituent quark mass = QCD binding energy**

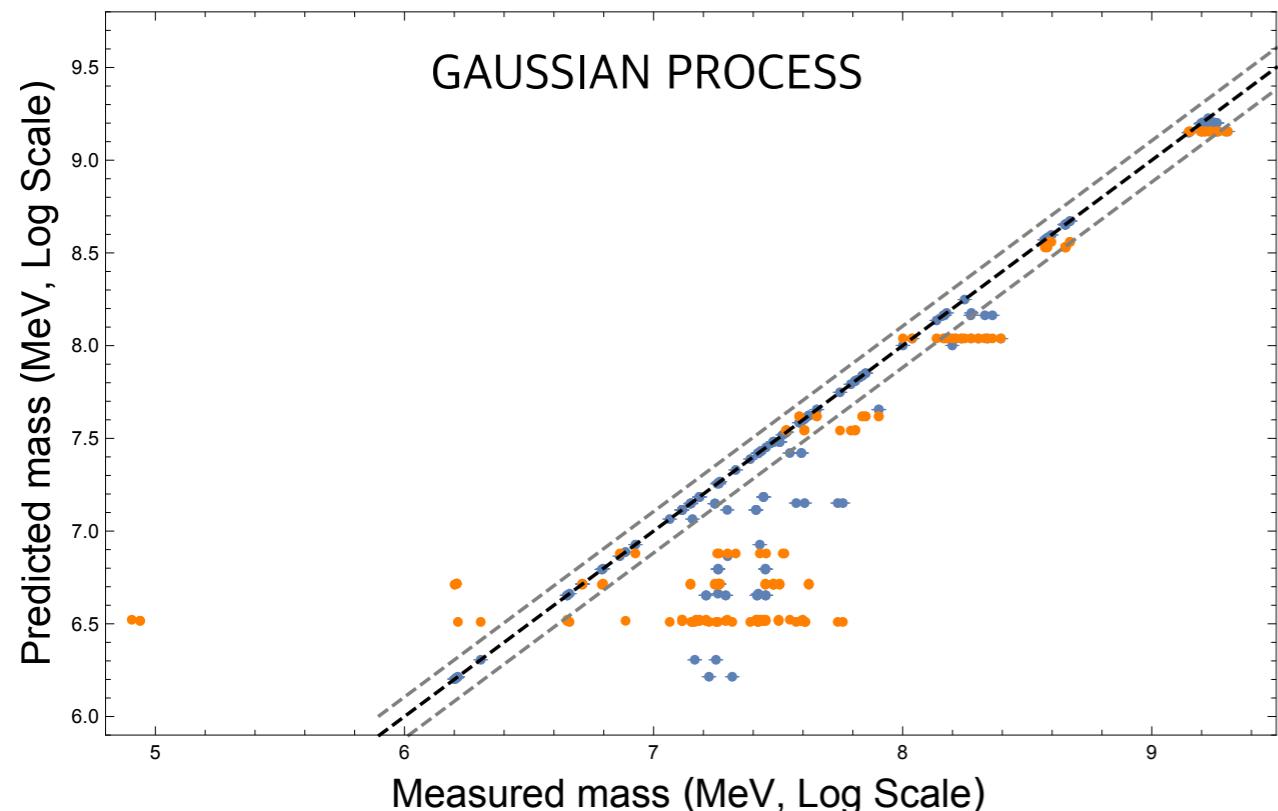
= amount of energy to add to spontaneously emit meson containing given valence quark

**The neural network is doing something else!**



# Gaussian Process

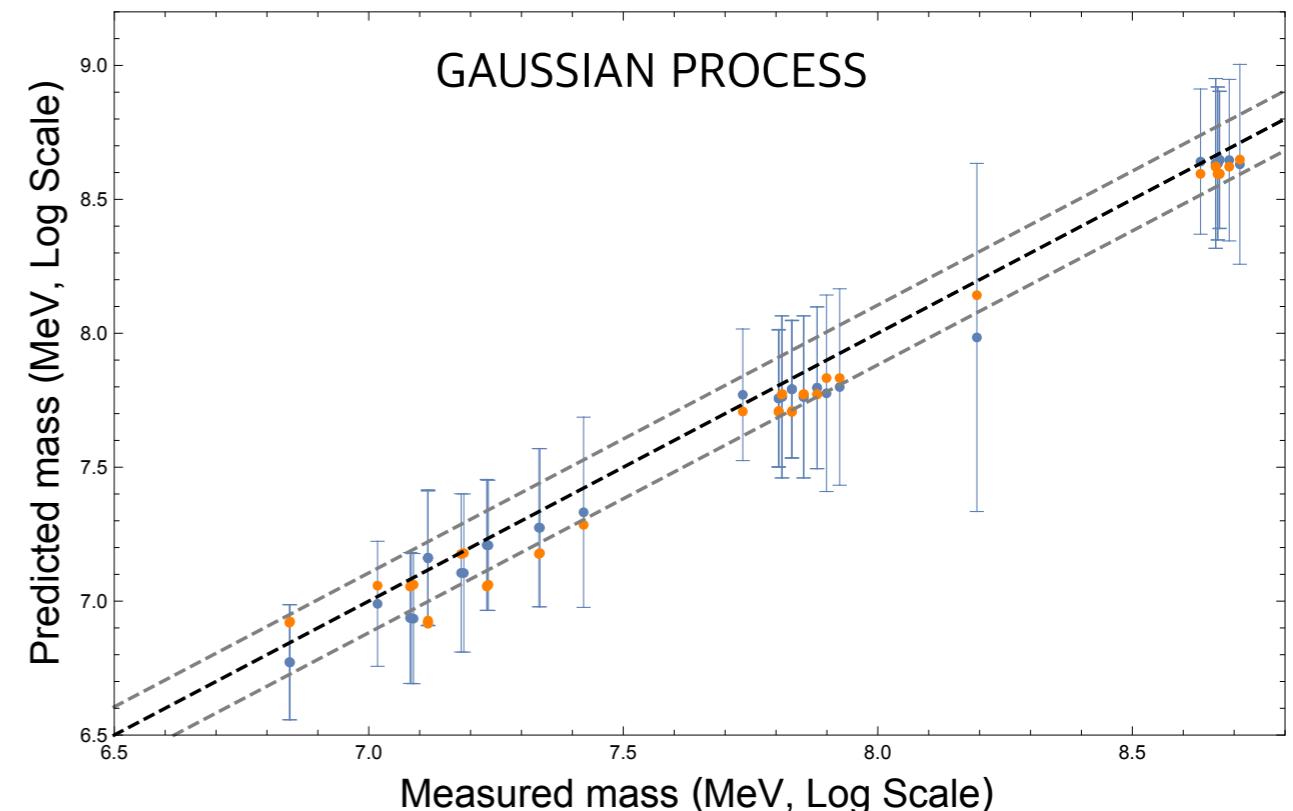
Mesons



13.5% error

39.3% error

Baryons



3.4% error

8.7% error

- Train with 14-vectors — augment  $\vec{v}$  with rank to account for degenerate inputs
- Use  $k_{\text{RQ}}(x_i, x_j)$  kernel — 17 hyperparameters

# Light Baryons

	$p$	$n$	$\Lambda^0$	$\Sigma^+$	$\Sigma^0$	$\Sigma^-$	$\Delta^{++}$
#1	79.0	2.0	0.0	4.6	0.0	2.5	5.3
#2	13.9	26.7	0.0	12.5	1.2	5.5	22.5
#3	4.5	21.7	0.3	15.9	2.2	8.6	14.7
#4	1.9	14.7	0.6	17.3	5.7	8.5	13.6
#5	0.6	11.1	2.3	15.6	6.2	11.8	9.2
#6	0.1	9.1	3.9	9.6	8.0	10.7	5.8
#7	0.0	4.8	6.7	7.9	11.0	9.8	5.6

# Light Baryons

	$p$	$n$	$\Lambda^0$	$\Sigma^+$	$\Sigma^0$	$\Sigma^-$	$\Delta^{++}$
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#7	0.0	4.8	6.7	7.9	11.0	9.8	5.6

$$m_p = 1068 \pm 183 \text{ MeV}$$

$$m_p = 938.28 \text{ MeV}$$

$$m_n = 1205 \pm 206 \text{ MeV}$$

$$m_n = 939.57 \text{ MeV}$$

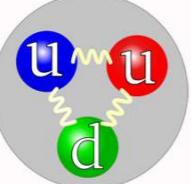
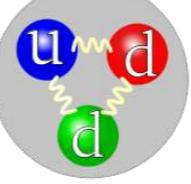
Gaussian process gives  $m_p \approx m_n \approx 893 \pm 194 \text{ MeV}$

CQM gives  $m_p = 1012 \text{ MeV}$ ,  $m_n = 1016 \text{ MeV}$

# Light Baryons

$$\begin{pmatrix} |\pi^0\rangle \\ |\eta\rangle \\ |\eta'\rangle \end{pmatrix} = \begin{pmatrix} -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{6}} & -\frac{2}{\sqrt{6}} \\ \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} \end{pmatrix} \begin{pmatrix} |d\bar{d}\rangle \\ |u\bar{u}\rangle \\ |s\bar{s}\rangle \end{pmatrix}$$

- PDG's numbering scheme treats  $\pi^0$  (lightest meson) as  $d\bar{d}$

- Proton is  and neutron is 

$$m_p = 1068 \pm 183 \text{ MeV}$$

$$m_p = 938.28 \text{ MeV}$$

$$m_n = 1205 \pm 206 \text{ MeV}$$

$$m_n = 939.57 \text{ MeV}$$

- Neural network learns this subtlety

# Pentaquarks

$uudc\bar{c}$	$I(J^P)$	Measured Mass (MeV)	NN Pred (MeV)	GP Pred (MeV)	CQM Pred (MeV)
$P_c(4312)^+$	$\frac{1}{2} (\frac{1}{2}^+)$	$4311.9 \pm 0.7^{+6.8}_{-0.6}$	$(4.2 \pm 1.2) \times 10^3$	$3544 \pm 923$	4112
$P_c(4440)^+$	$\frac{1}{2} (\frac{1}{2}^-)$	$4440.3 \pm 1.3^{+4.1}_{-4.7}$	$(4.1 \pm 1.1) \times 10^3$	$3253 \pm 846$	
$P_c(4457)^+$	$\frac{1}{2} (\frac{3}{2}^-)$	$4457.3 \pm 0.6^{+4.1}_{-1.7}$	$(4.5 \pm 1.1) \times 10^3$	$3581 \pm 932$	

- Pentaquarks discovered by LHCb again with meson training

# Tetraquarks?

	$I(J^P)$	Measured mass (MeV)	Composition	NN Pred (MeV)	GP Pred (MeV)	CQM Pred (MeV)
$a_0(980)$	$1(0^+)$	$980 \pm 20$	$u\bar{u}$	$1277 \pm 246$	$511 \pm 34$	680
			$u\bar{u}s\bar{s} (K\bar{K})$	$2172 \pm 466$	$1713 \pm 68$	1652
$f_0(980)$	$0(0^+)$	$990 \pm 20$	$d\bar{d}$	$921 \pm 117$	$977 \pm 37$	672
			$d\bar{d}s\bar{s} (K\bar{K})$	$1592 \pm 401$	$1132 \pm 312$	1644

	$I(J^P)$	Measured mass (MeV)	Composition	NN Pred (MeV)	GP Pred (MeV)	CQM Pred (MeV)
$D_{s0}^*(2317)^\pm$	$0(0^+)$	$2317.8 \pm 0.5$	$c\bar{s}$	$2640 \pm 433$	$2434 \pm 700$	2036
			$c\bar{u}u\bar{s} (DK)$	$4326 \pm 925$	$2474 \pm 826$	2858
$D_{s1}(2460)^\pm$	$0(1^+)$	$2459.5 \pm 0.6$	$c\bar{s}$	$2547 \pm 39$	$2535 \pm 0.003$	2036
			$c\bar{u}u\bar{s} (D^* K)$	$3431 \pm 544$	$2560 \pm 788$	2858

- Discriminate between composition hypotheses for new QCD resonances
- Tetraquarks are disfavored by both neural network and Gaussian process

# Semi-Empirical Mass Formula

- Mass of atomic nucleus with  $A = Z + N$

$$m(Z, N) = Zm_p + Nm_n - E_B(Z, N)$$

$$E_B(Z, N) = a_V A - a_S A^{\frac{2}{3}} - a_C \frac{Z(Z-1)}{A^{\frac{1}{3}}} - a_A \frac{(A-2Z)^2}{A} - \delta(Z, N)$$

volume      surface                  Coulomb                  asymmetry      pairing

$$\delta(Z, N) = \begin{cases} +\delta_0 & Z, N \text{ even} \\ -\delta_0 & Z, N \text{ odd} \\ 0 & \text{otherwise} \end{cases}, \quad \delta_0 = a_P A^{-\frac{1}{2}}$$

Weizsäcker (1935)

- Does such a formula exist for baryons?
- Machine learning experiments suggest there is an  $m(\vec{v})$  formula

# Semi-Empirical Mass Formula

- In large- $N$  limit, interaction between quarks given by Hartree–Fock potential  
Density grows as number of particles increases Witten (1979)
- In nucleus, density does not increase with number of particles  
In fact, binding energy term negligible as  $Z, N$  get large
- In baryon case, interaction energy grows with  $N$
- The formula is therefore more complicated than in liquid drop model

# Universal Approximation Theorem

- Suppose some more complicated formula exists
- Feedforward neural network, sigmoid activation function, single hidden layer with finite number of neurons can approximate continuous functions on compact subsets of  $\mathbb{R}^n$

Cybenko (1989)  
Hornyk (1991)

- Surprise here is simplicity: 50 neurons, 196 meson dataset for training
- Principal component analysis indicates  $c, \bar{c}, b, \bar{b}$  count most, then  $I$
- Antibaryon masses to within 6% of baryon masses; so machine learning figures out antiparticles on its own

# Baryons as Solitons

- Mesons have mass that scales like  $\frac{1}{N}$
  - In real world QCD,  $\frac{\#}{N^2}$  is a small parameter; perturbation theory works
  - Leads to approximate decoupling of degrees of freedom in  $S$ -matrix picture
- Witten (1979)
- Machine learning baryon spectrum from mesons is consistent with this picture

# Prospectus

- Glueballs
- Learn Regge trajectories
- Towards QCD string
- Gell-Mann–Okubo mass formula
- Better chiral perturbation theory
- Faster than lattice QCD, but certainly doesn't supplant it

# Collaborators



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Institute



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Challenger Mishra

The  
Alan Turing  
Institute



# Challenge

- How does a black box learn semantics without knowing syntax?
  - Generally unpublished failed experiments indicate what doesn't work
  - Knowing that there are approximate functions  $f(\vec{J})$  and  $m(\vec{v})$  can we find analytic expressions by opening the black box?

# Challenge

- How does a black box learn semantics without knowing syntax?
  - Generally unpublished failed experiments indicate what doesn't work
  - Knowing that there are approximate functions  $f(\vec{J})$  and  $m(\vec{v})$  can we find analytic expressions by opening the black box?
- Can artificial intelligence do interesting research?
  - *cf.* new jōseki in go AlphaGo Zero (2017)
  - Proofs in real analysis Ganesalingam, Gowers (2013)
  - Proof assistants Voevodsky (2014)

# hep-th

- Use machine learning to classify papers into **arXiv** categories
- 65% success at exact subject, 87% success at formal vs. phenomenology
- Mapping words to vectors contextually, we discover syntactic identities

Paris – France + Italy = Rome

king – man + woman = queen



He, VJ, Nelson (2018)

# hep-th

- Use machine learning to classify papers into **arXiv** categories
- 65% success at exact subject, 87% success at formal vs. phenomenology
- Mapping words to vectors contextually, we discover syntactic identities

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- An idea generating machine for **hep-th**:

symmetry + black hole = Killing

symmetry + algebra = group

black hole + QCD = plasma

spacetime + inflation = cosmological constant

string theory + Calabi–Yau = M-theory +  $G_2$

**Thank You!**